



Course 142A Compilers & Interpreters **Object-Oriented Runtime Support**

Lecture Week 7
Prof. Dr. Luc Bläser

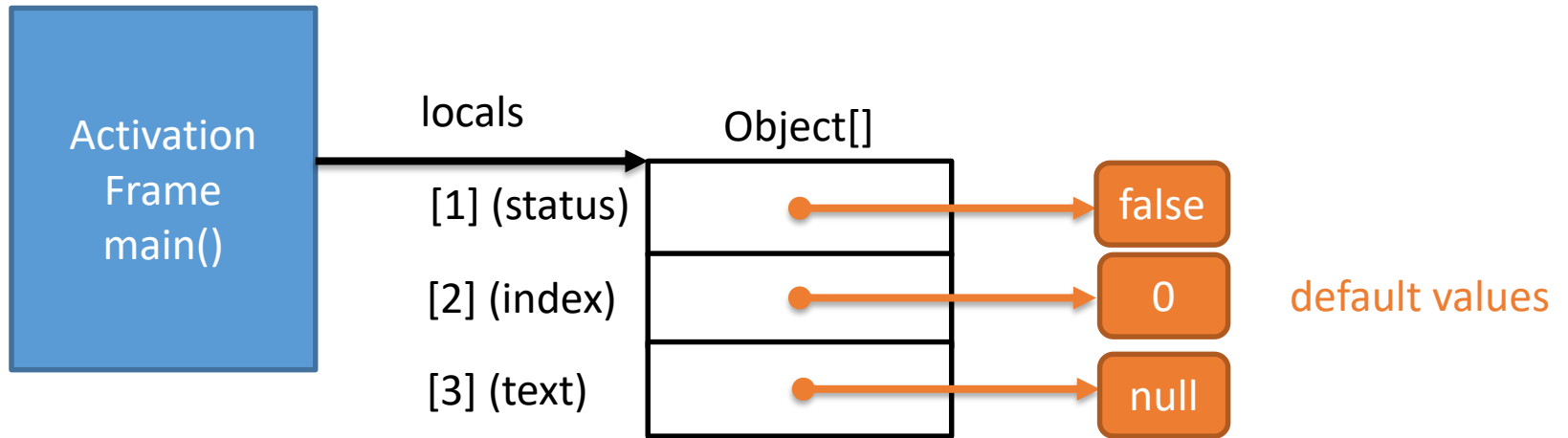
Last Lecture - Quiz

```
void main() {  
    boolean status;  
    int index;  
    string text;  
}
```



How do we manage these variables in the interpreter?

Activation Frame



Why do we default-initialize variables?

Security Measures

- Always initialize variables (even locals)
- Boundary checks (null deref, array index)
- Stack overflow/underflow detection
- Check correct bytecode and type consistency
- Compatibility with external assemblies (not here)
- Garbage Collection (later)

Checks in Interpreter

iadd

```
int right = checkInt(pop());  
int left = checkInt(pop());  
push(left + right);
```

Must be int type

No stack underflow

Next Step

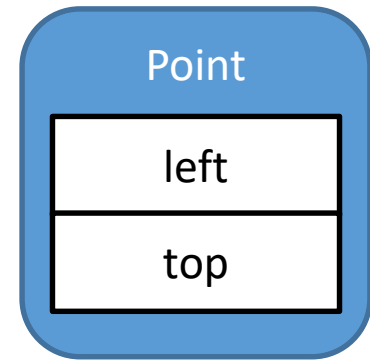
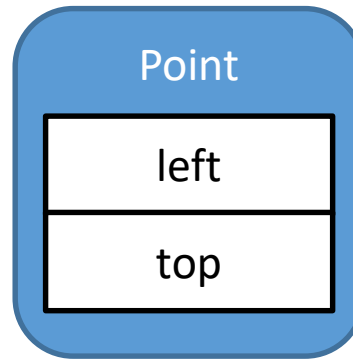
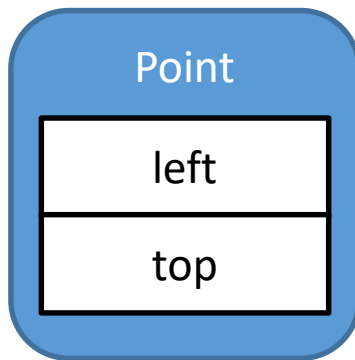
```
class Point {  
    int left;  
    int top;  
}
```



How should the interpreter manage these variables?

Object Support

- Heap with objects containing fields



Do we feature static fields or static methods in UCI-Java?

Object-Orientation



*What do we need to support
for object-orientation?*

OO-Support

Impacts all system components

Compiler:

- Lexer and Parser
- Semantic Checker
- Code Generator

Virtual Machine:

- Loader
- Interpreter
- Heap & GC

Today's Topics

- Object-Orientation
- Heap Management
- Objects, Arrays, and References
- Single Inheritance

Learning Goals

- Know the compiler and VM features to support object-orientation
- Understand principals of object management in the runtime system

OO in Lexer & Parser

- new-operator for classes (and arrays)
 - `new Point();`
- Indirect access expressions (designators)
 - `x.y.z`
 - `x.y.F()`
- Type cast and instanceof-operator
 - `(Node)x`
 - `x instanceof Node`
- Base class
 - `class Sub extends Base {}`

Done!

OO in Semantic Checker (1)

- Assignment-compatibility of types
 - null is compatible to all reference types
 - Subclass is compatible to base class (implicit up-cast)
- Assignments/parameter passing
 - Types are assignment-compatible
- Comparisons $a == b$ and $a != b$
 - a is assignment-compatible to b , or vice versa (b to a)

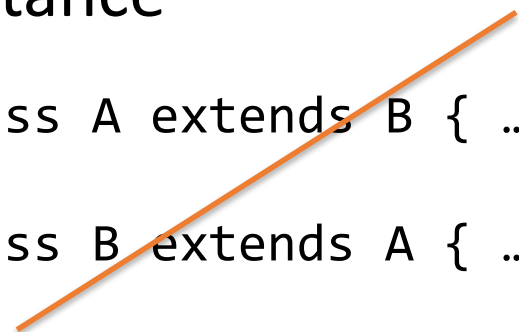
Done!

OO in Semantic Checker (2)

- No cyclic inheritance

```
class A extends B { ... }
```

```
class B extends A { ... }
```

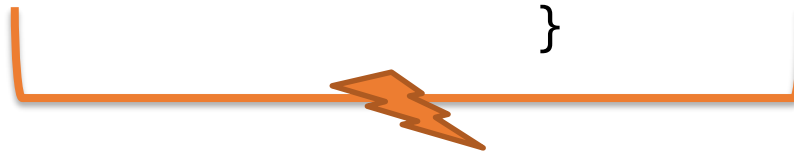


- Overriding matches

- Same signature and return type

```
class Base {  
    void f(int x) { ... }  
}
```

```
class Sub : Base {  
    int f(string s) { ... }  
}
```



Done!

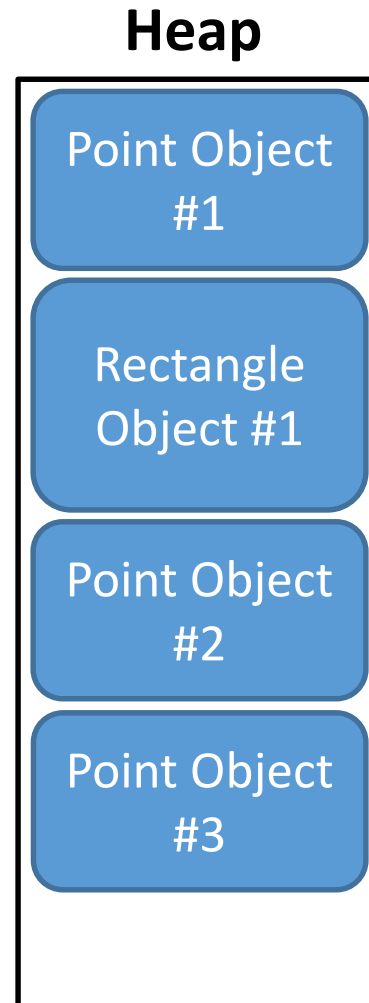
Language Features: Out of Scope

- Constructor and initializer
 - Not in UCI-Java
- Access modifier (private, public)
 - Not in UCI-Java
- Inheritance and type polymorphism
 - Later
- Garbage collection
 - Later

Objects at Runtime

- Store created objects on heap
- Heap = linear address space

```
a = new Point();  
b = new Rectangle();  
c = new Point();  
d = new Point();
```



Stack vs. Heap



Why are objects not stored on the stack?

Object Lifetime

- No bound to method incarnation

```
Point getPoint() {  
    return new Point();  
}
```

Method Escape

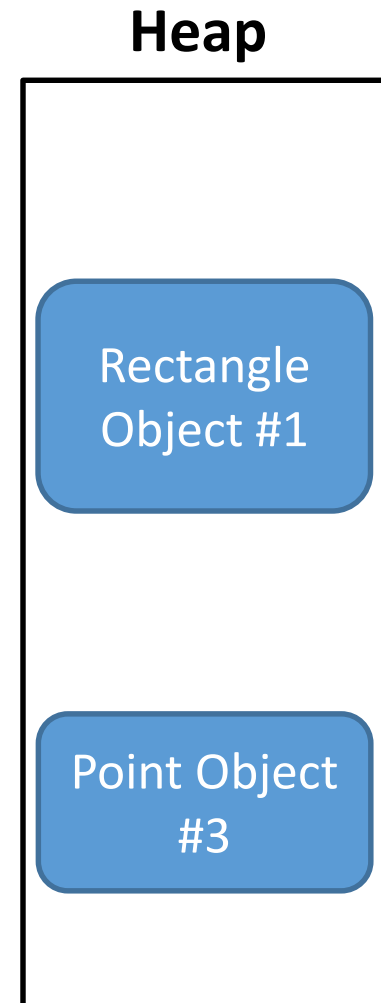
```
void setup(Rectangle r) {  
    r.topLeft = new Point();  
}
```

Deallocation

- No hierarchy among objects
- Disposal can be in any order
- Deallocation leads to holes

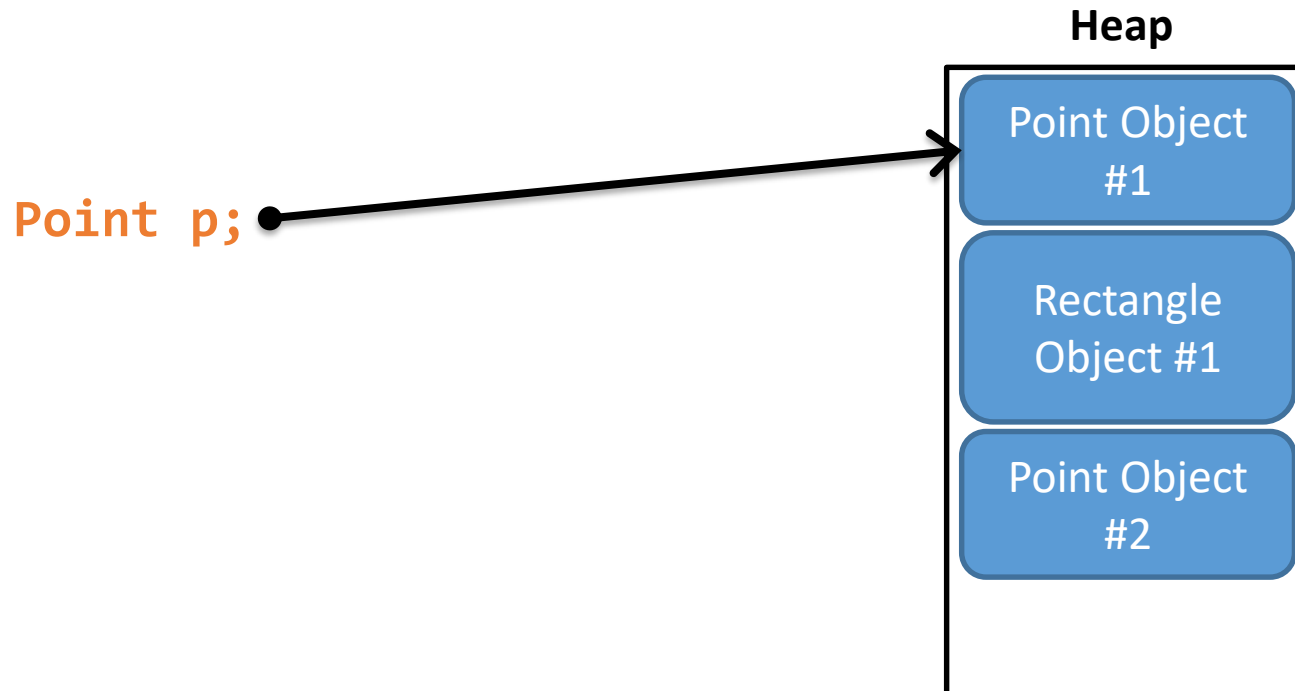
delete a
delete c

Garbage Collection covered later



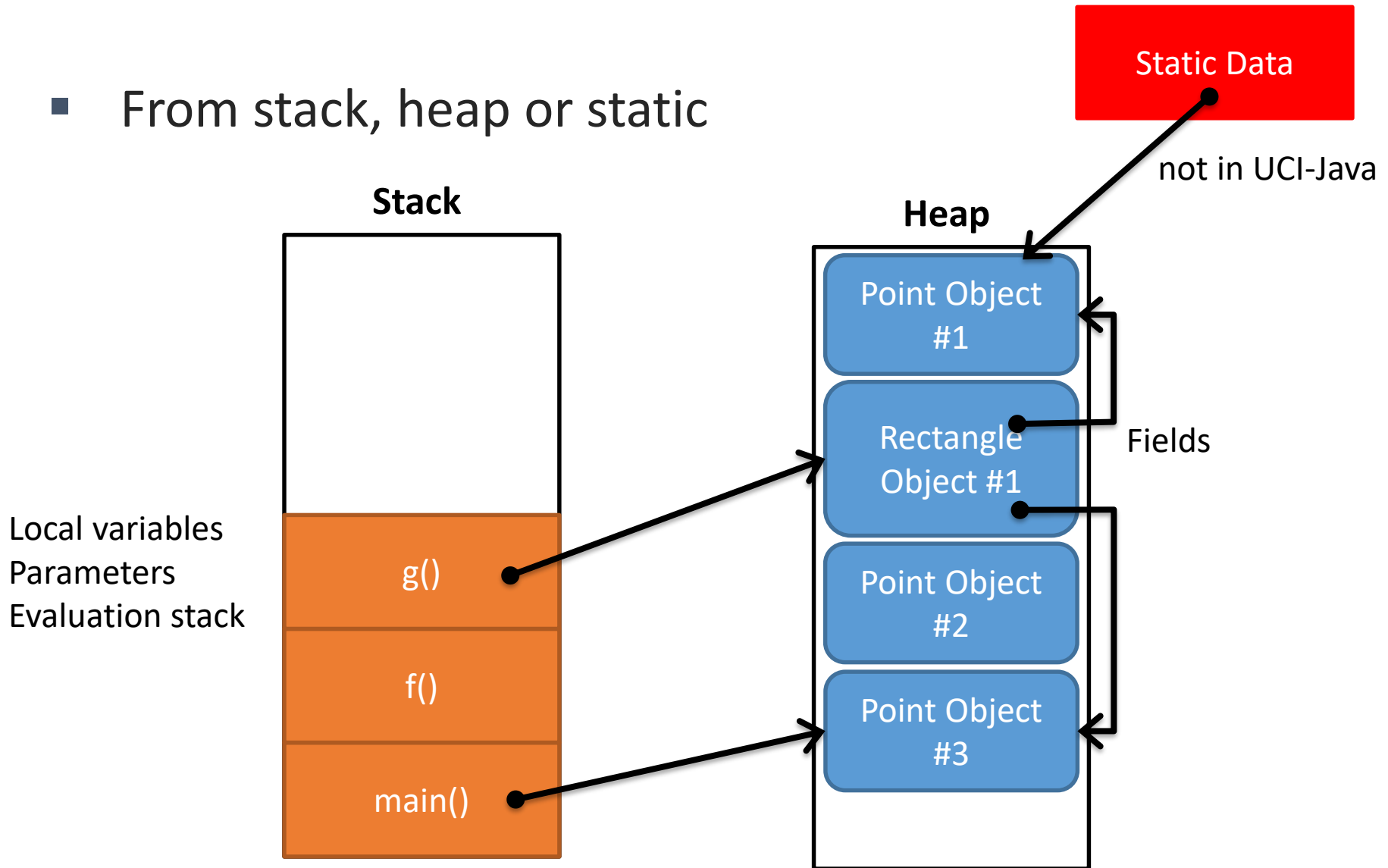
Object References

- Pointer to an object in heap
 - Represented as object's memory address
- Variables only store the reference
 - If reference type, i.e. class, array, string



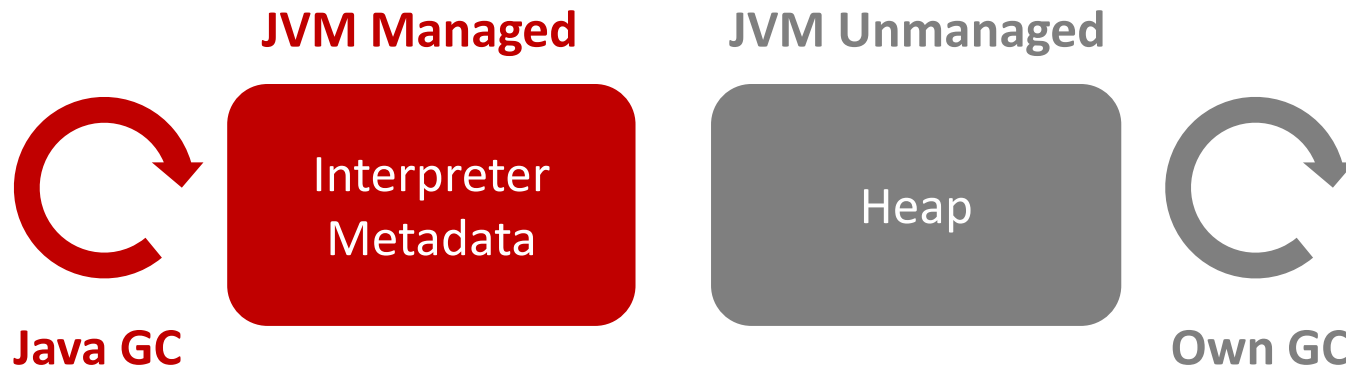
Sources of References

- From stack, heap or static



Heap Design

- Custom raw heap in unmanaged memory
- Java Native Access

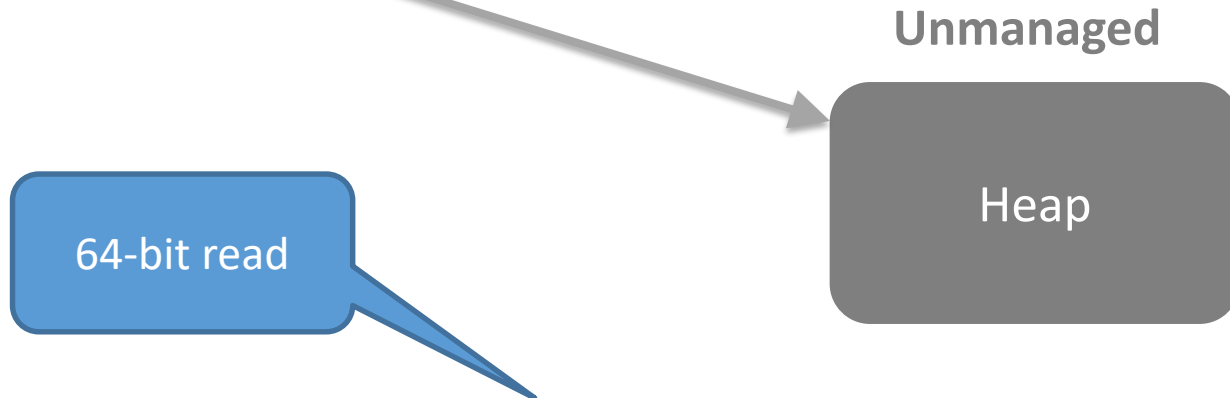


Unmanaged Memory in Java

- Allocate memory in Java Native Access

```
import com.sun.jna.Memory;
```

```
Memory heap = new Memory(HEAP_BYTE_SIZE);
```



```
long value = heap.getLong(address);
```

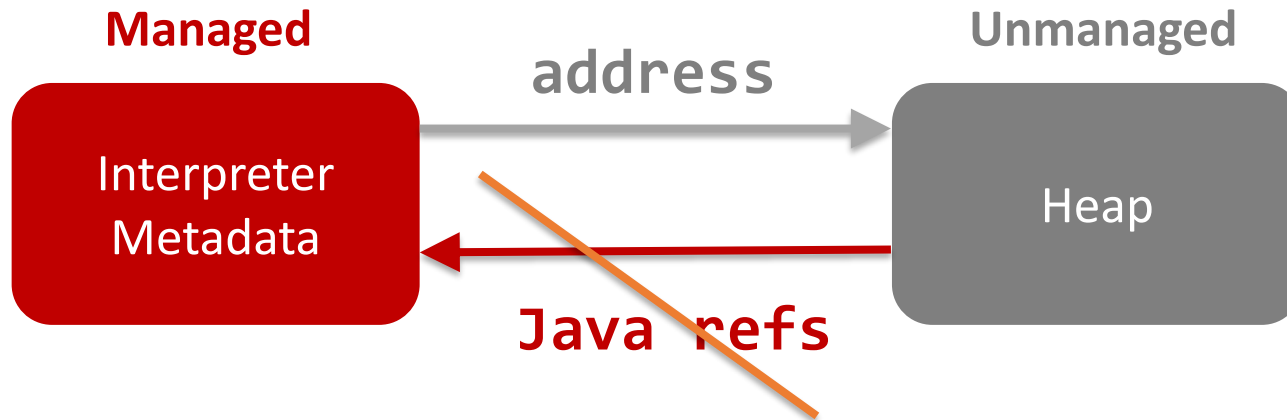
```
...
```

```
heap.setLong(address, value);
```

address =
offset in heap

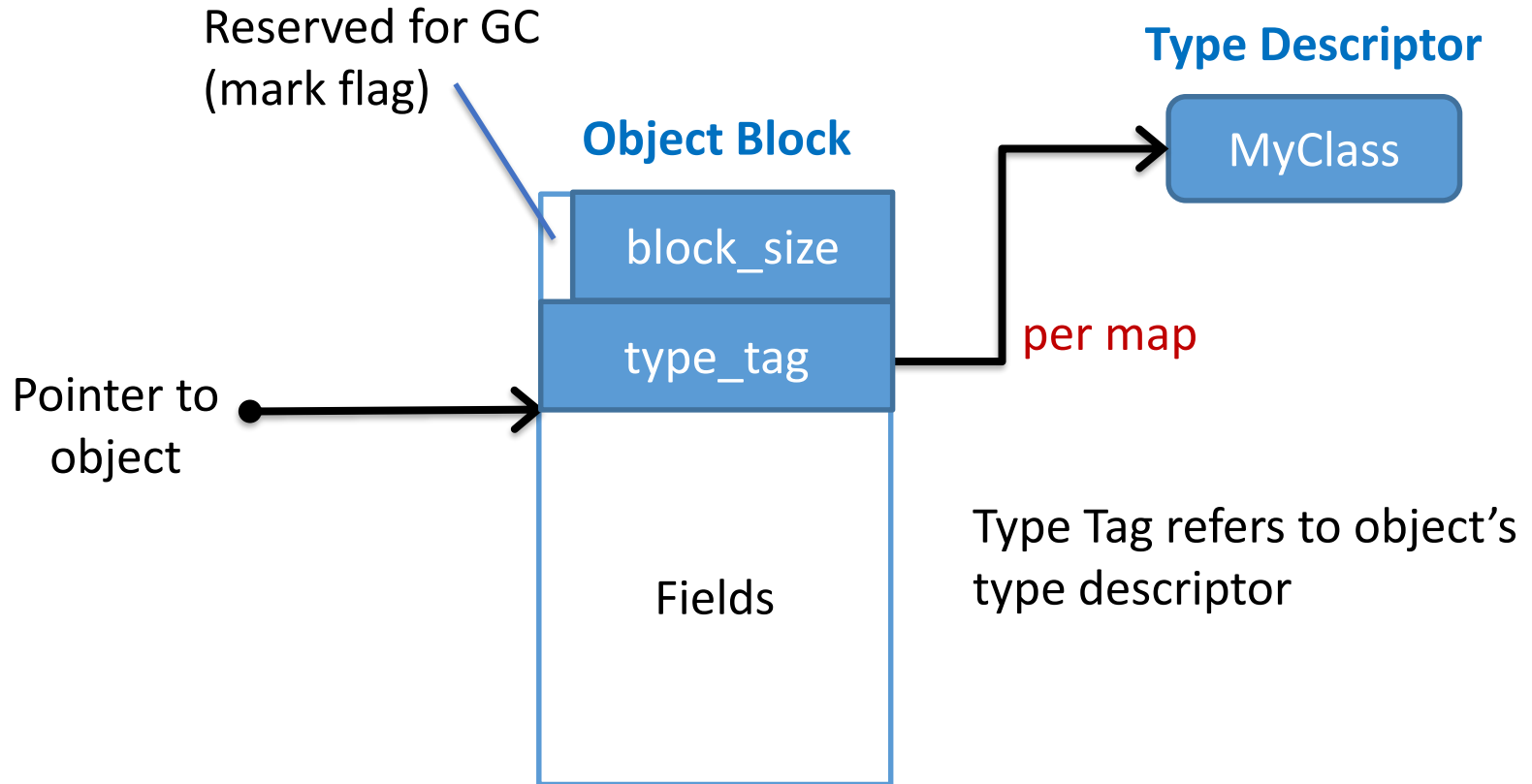
Raw Heap: Principles

- 64-bit addresses/pointers (8 bytes)
- Raw heap cannot store Java references



Use map instead (e.g. `HashMap<Long, Object>`) when needed

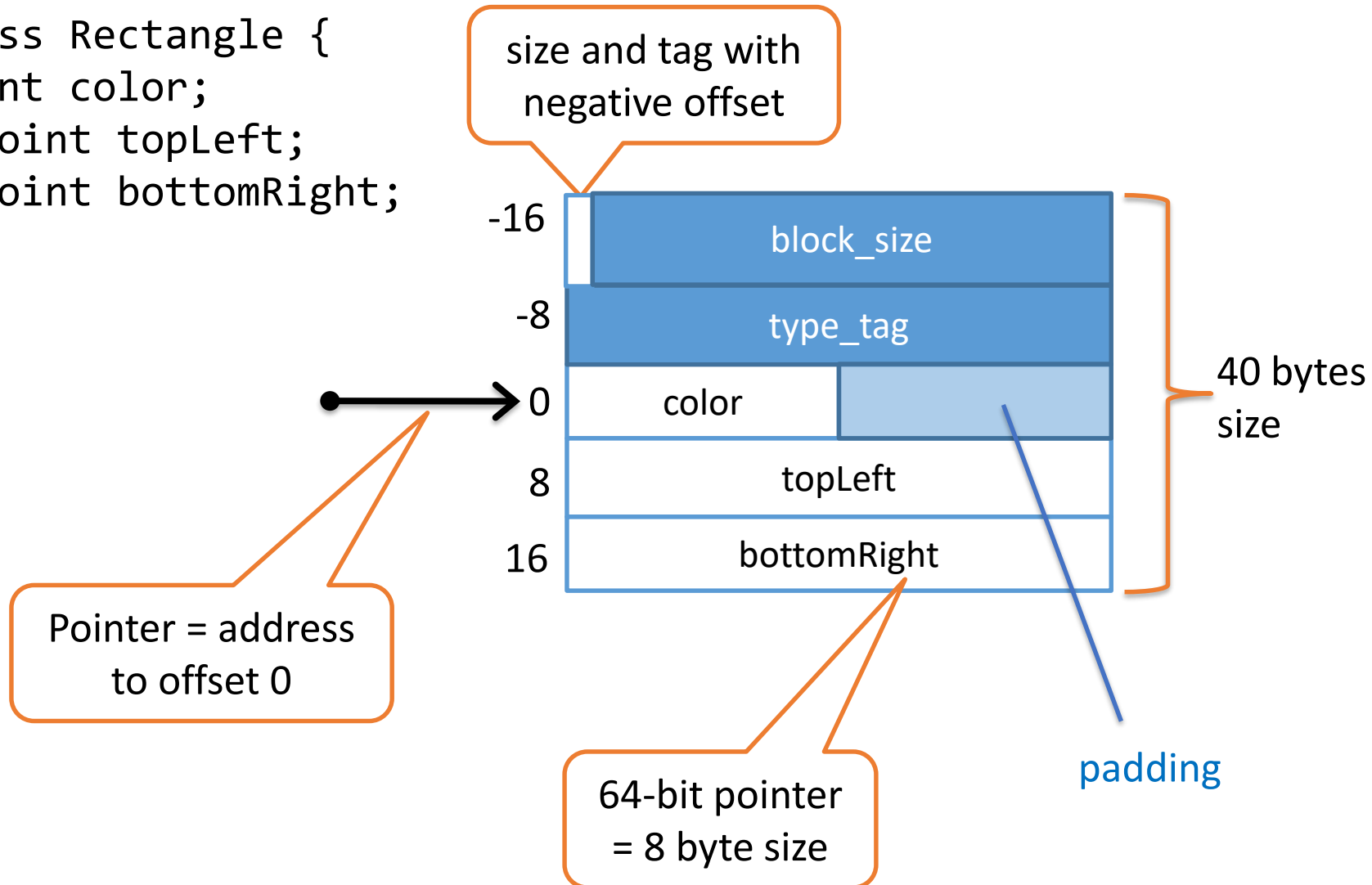
Object Layout



Simplicity: Keep descriptors in managed JVM heap

Concrete Object Layout

```
class Rectangle {  
    int color;  
    Point topLeft;  
    Point bottomRight;  
}
```



Heap

- Convenience class for use by the interpreter

```
class Heap {
```

```
...
```

```
  Pointer allocateObject(ClassDescriptor type);
```

```
  Object readField(Pointer ptr, int index);
```

```
  void writeField(Pointer ptr, int index, Object value);
```

```
...
```

```
}
```

Wrapper for
long address

Fields are
numbered

boolean, int,
String, Pointer

OO Interpretation Patterns

new

```
var type = (ClassDescriptor)instruction.getOperand();  
var instance = heap.allocateObject(type);  
push(instance);
```

Done!

aconst_null

```
push(null);
```

Open!

OO Interpretationsmuster

getfield

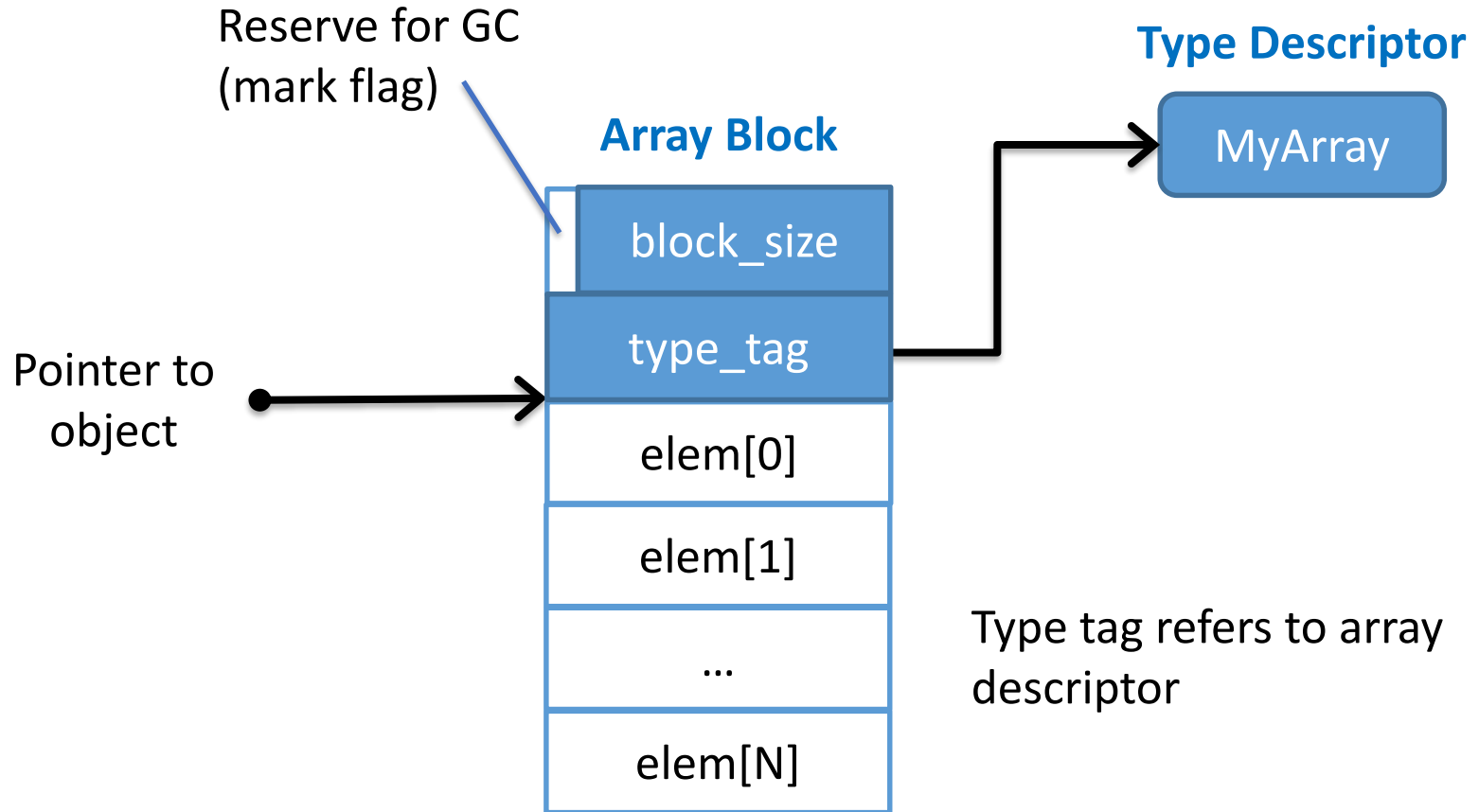
```
var field = (FieldDescriptor)instruction.getOperand();  
var index = field.getIndex();  
var instance = pop();  
var value = heap.readField(instance, index);  
push(value);
```

putfield

```
var field = (FieldDescriptor)instruction.getOperand();  
var index = field.getIndex();  
var value = pop();  
var instance = pop();  
heap.writeField(instance, index, value);
```

Done!

Array Block



Simplicity: We can compute array length from block size (no padding)

Similar for Arrays

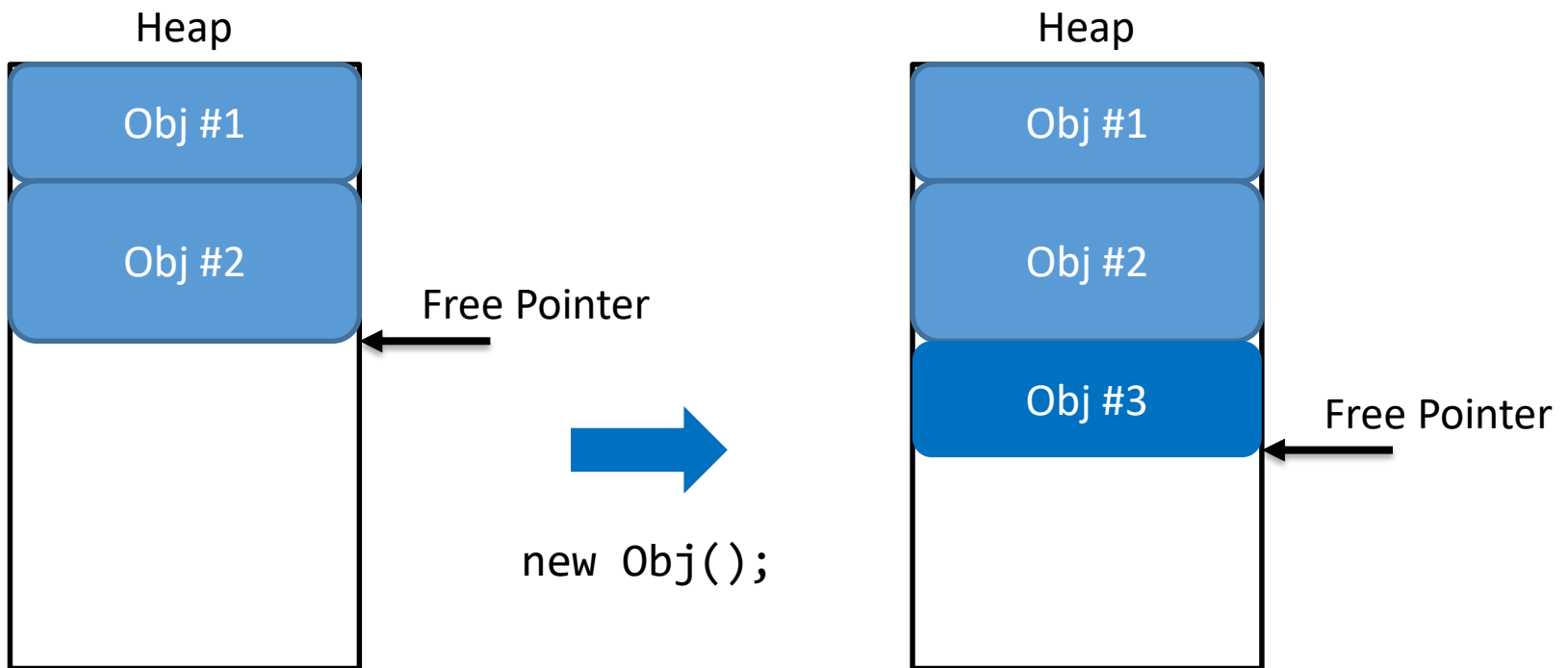
```
class Heap {  
    ...  
    Pointer allocateArray(ArrayDescriptor type, int length);  
    int getArrayLength(Pointer array);  
    Object readElement(Pointer array, int index);  
    void writeElement(Pointer array, int index, Object value);  
}
```

newarray

```
var type = (ArrayDescriptor)instruction.getOperand();  
var length = pop();  
var array = heap.allocateArray(type, length);  
push(array);
```

Simplest Heap

- Currently no deallocation yet (no GC)
- Simply allocate blocks linearly



Heap Allocation

Simple layout

```
Pointer allocateObject(ClassDescriptor type) {  
    int size = 16 + type.getAllFields().length * 8;  
    if (freePointer + size > limit) {  
        throw new VMException("Out of Memory");  
    }  
    long address = freePointer;  
    freePointer += size;  
    heap.setLong(address, size);  
    long typeTag = getDescriptorId(type);  
    heap.setLong(address + 8, typeTag);  
    address += 16;  
    initialize(address, type);  
    return new Pointer(address);  
}
```

Header

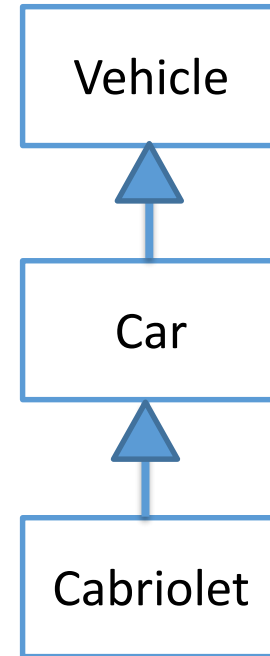
Default
initialization

Inheritance

```
class Vehicle {  
}
```

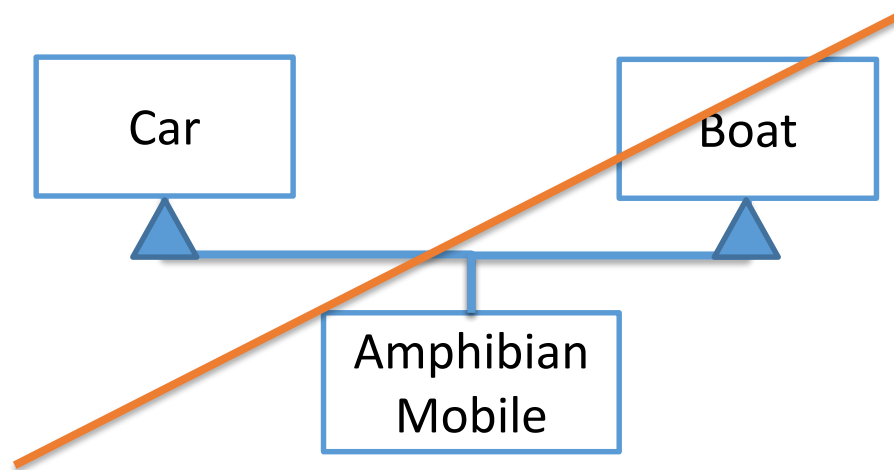
```
class Car extends Vehicle {  
}
```

```
class Cabriolet extends Car {  
}
```



Inheritance

- For simplicity, we only consider single inheritance (for the moment)



Which two aspects are combined with inheritance?

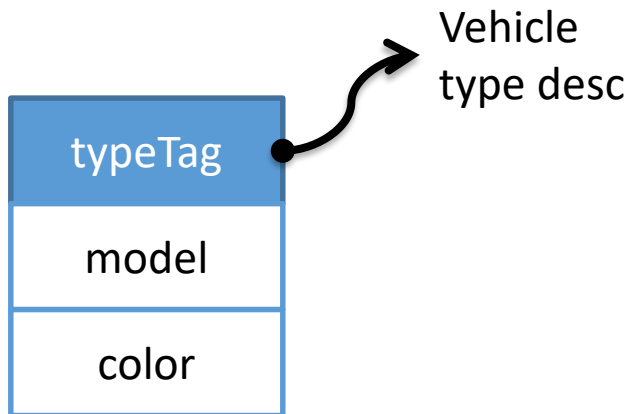
Inheritance: Two Aspects

- Code reuse
 - Subclass inherits fields & methods of base classes
- Type polymorphisms
 - Object of subclass also has the types of base classes

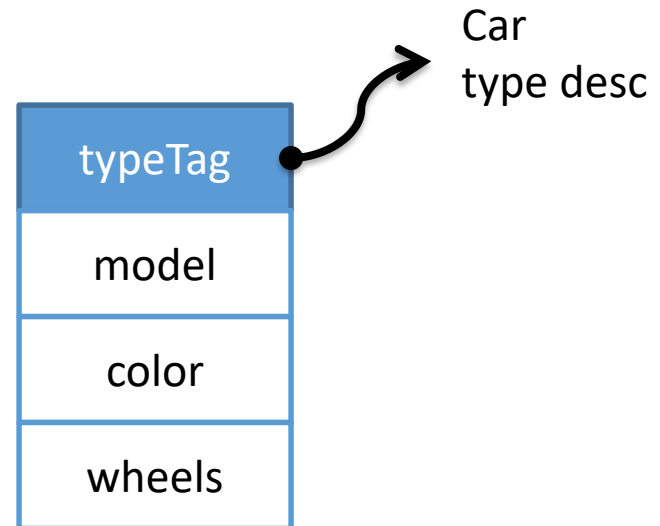
Code Reuse

- Subclass extends layout of the base class

```
class Vehicle {  
    int model;  
    int color;  
}
```



```
class Car extends Vehicle {  
    int wheels;  
}
```



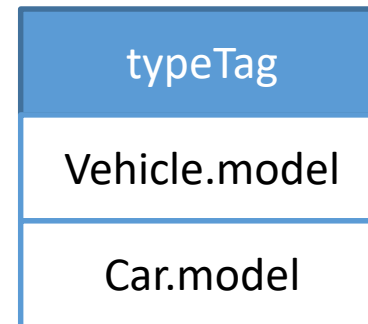
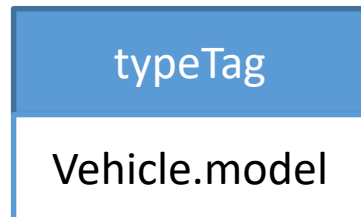
Variable Hiding



- Equally named variable in subclass = new definition

```
class Vehicle {  
    int model;  
}
```

```
class Car extends Vehicle {  
    int model;  
}
```



Static binding:

Code in Car uses Car.model

Code in Vehicle uses Vehicle.model

Field-Access

- Assign index to field descriptor

```
class Vehicle {  
    string producer;    // index 0  
    int model;          // index 1  
}
```

```
class Car extends Vehicle {  
    int wheels;         // index 2  
}
```

```
getField <Car.wheels>    // index 2  
putField <Car.model>    // index 1
```

Runtime Support for Inheritance

- Code Reuse
 - Inherit fields of base classes

- Type Polymorphism

- Dynamic type casts/tests
 - Virtual method calls



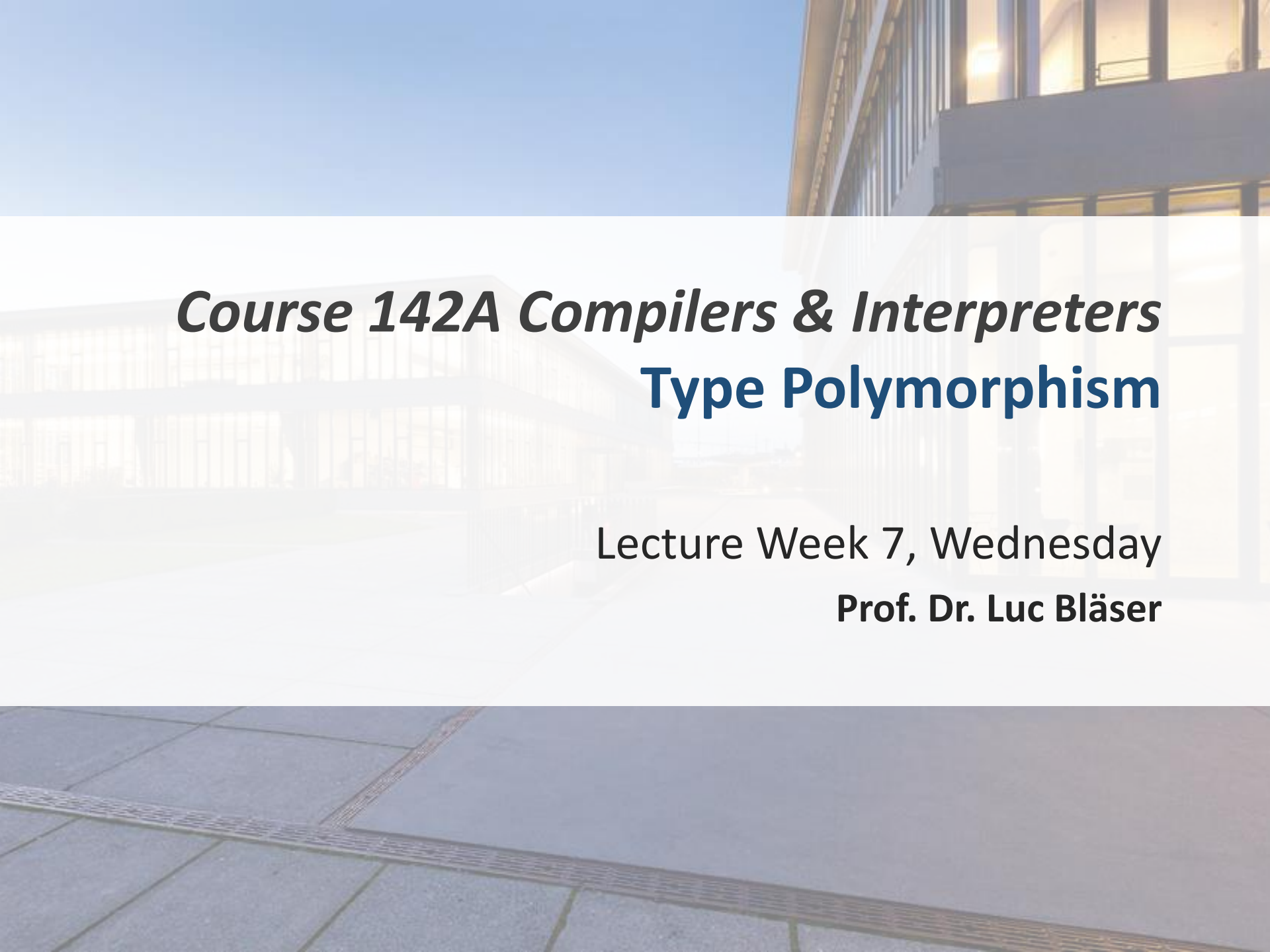
Next lecture

Review: Learning Goals

- ✓ Know the compiler and VM features to support object-orientation
- ✓ Understand principals of object management in the runtime system

Further Reading

- Dragon Book, Heap Management
 - Section 7.4
- Optional, if interested
 - B. Venners. Inside the Java Virtual Machine.
 - <https://www.artima.com/insidejvm/ed2>
 - Chapter 5 (JVM)



Course 142A Compilers & Interpreters

Type Polymorphism

Lecture Week 7, Wednesday

Prof. Dr. Luc Bläser

Last Lecture - Quiz

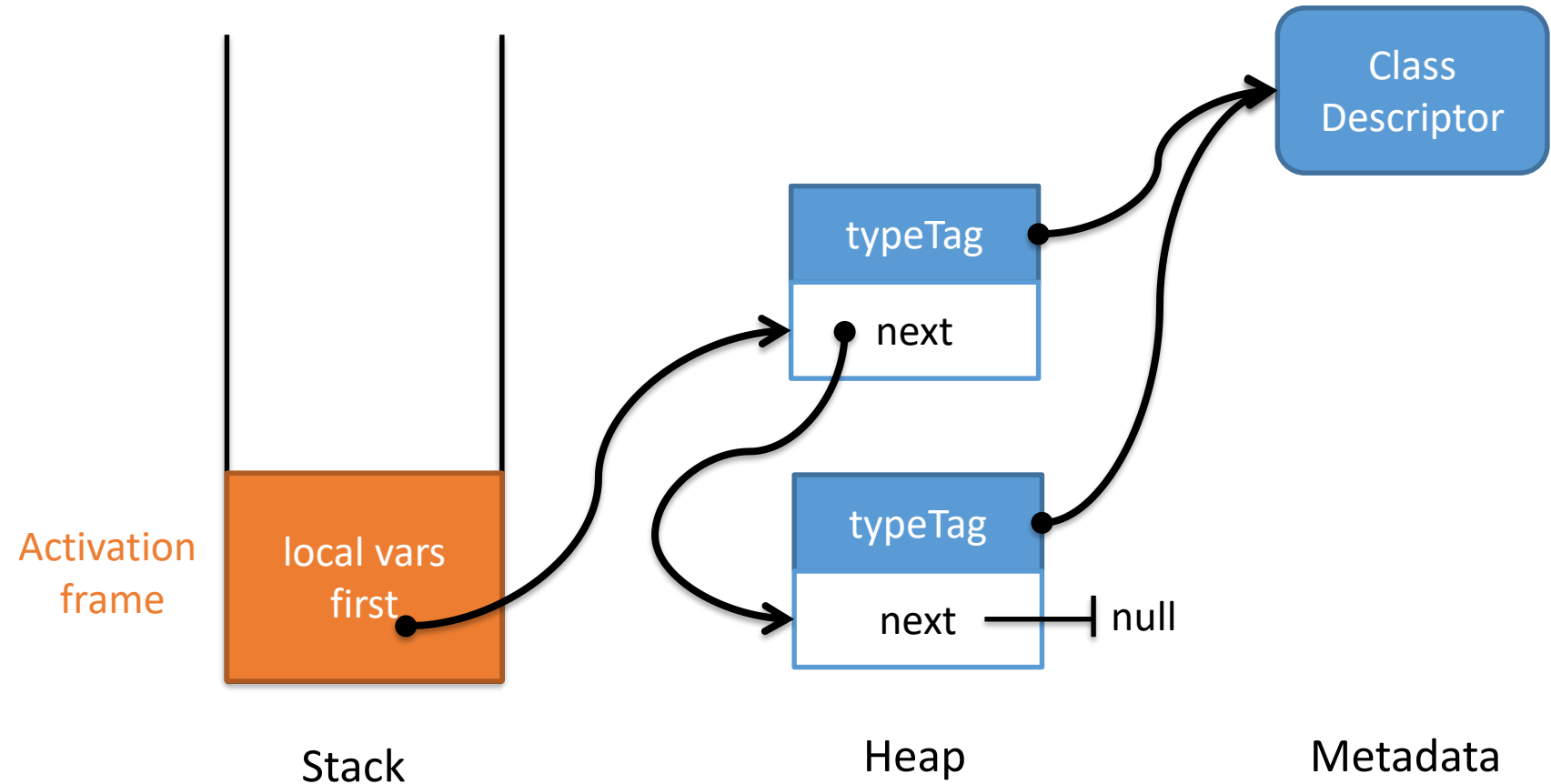
```
class Node {  
    Node next;  
}
```

```
Node first;  
first = new Node();  
first.next = new Node();
```



How does the runtime structure look like?

Runtime Structure in VM



For which purpose do we need the type tag?

Purpose of Type Tag

- Interpreter
 - Type of fields and arrays
- Runtime
 - Dynamic type casts/tests
 - Virtual method calls



Our focus today

Today's Topics

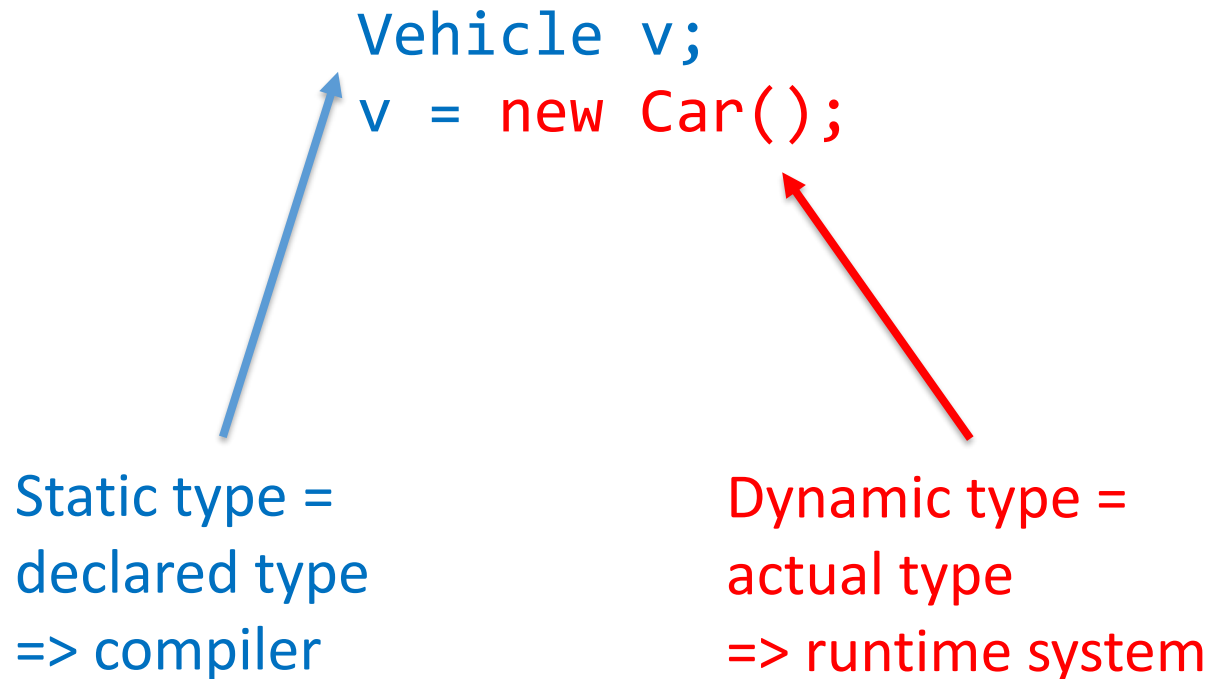
- Type polymorphism
- Type tests and casts
- Virtual method calls

Learning Goals

- Know the runtime structures for type polymorphism and dynamic dispatch
- Be able to extend the VM for OO single inheritance

Type Polymorphism

- Subtype is assignment compatible to base type



Type Test & Cast

```
Vehicle v;  
Car c;  
v = ...;
```

Type test

```
if (v instanceof Car) {  
    c = (Car)v;  
}
```

Type cast
(guard)

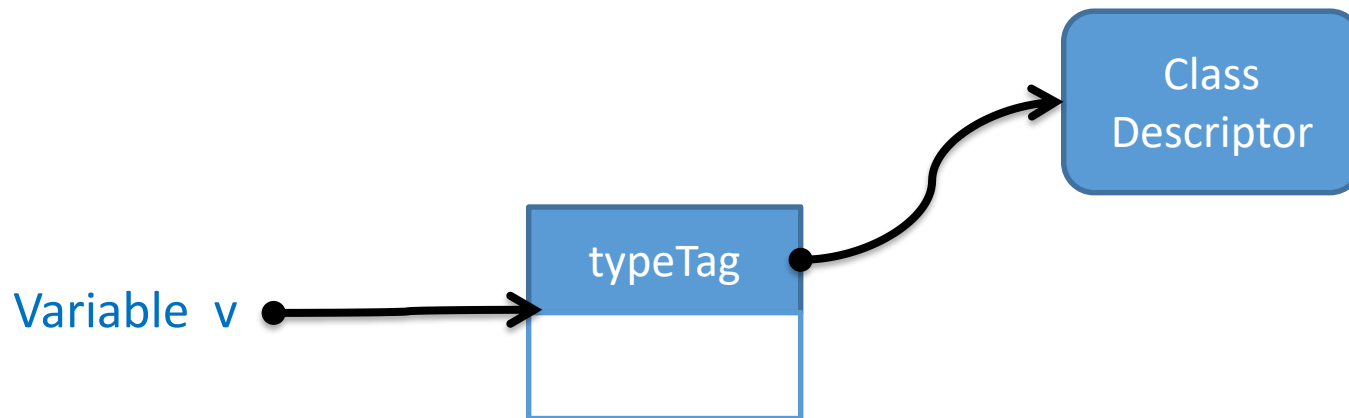
Matter of the runtime system (in VM)



How can this be determined?

Dynamic Type Lookup

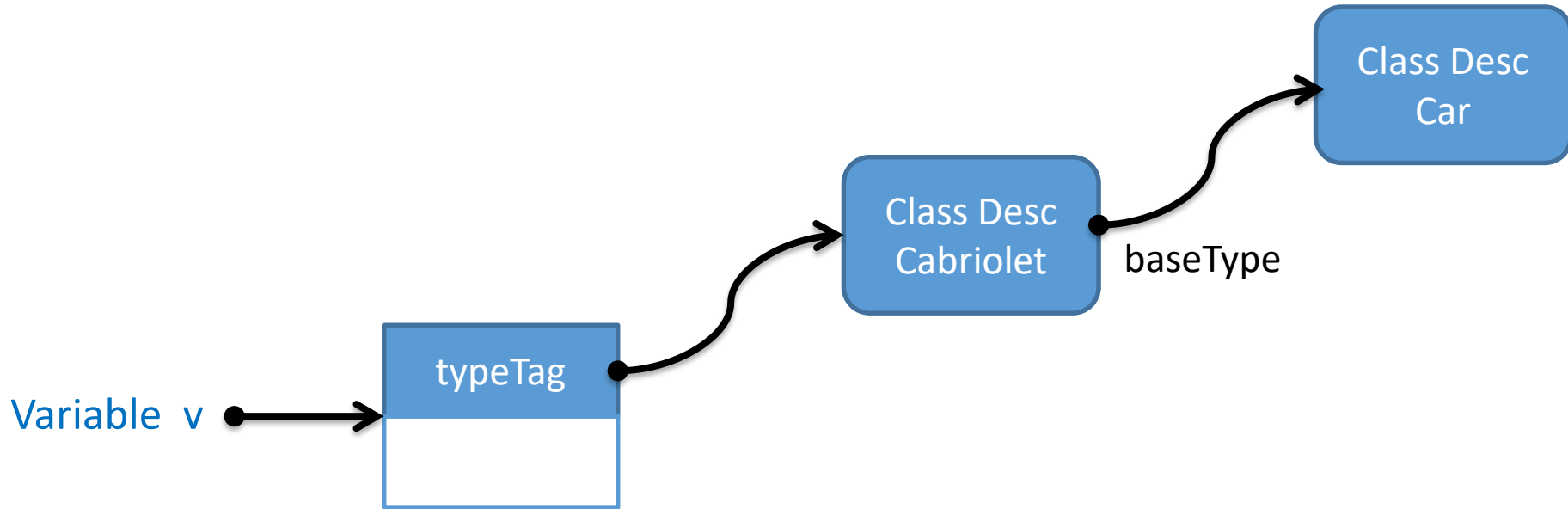
- Class descriptor = dynamic type of the object



`v instanceof Car`? Is it sufficient to check that the dynamic type is `Car`?

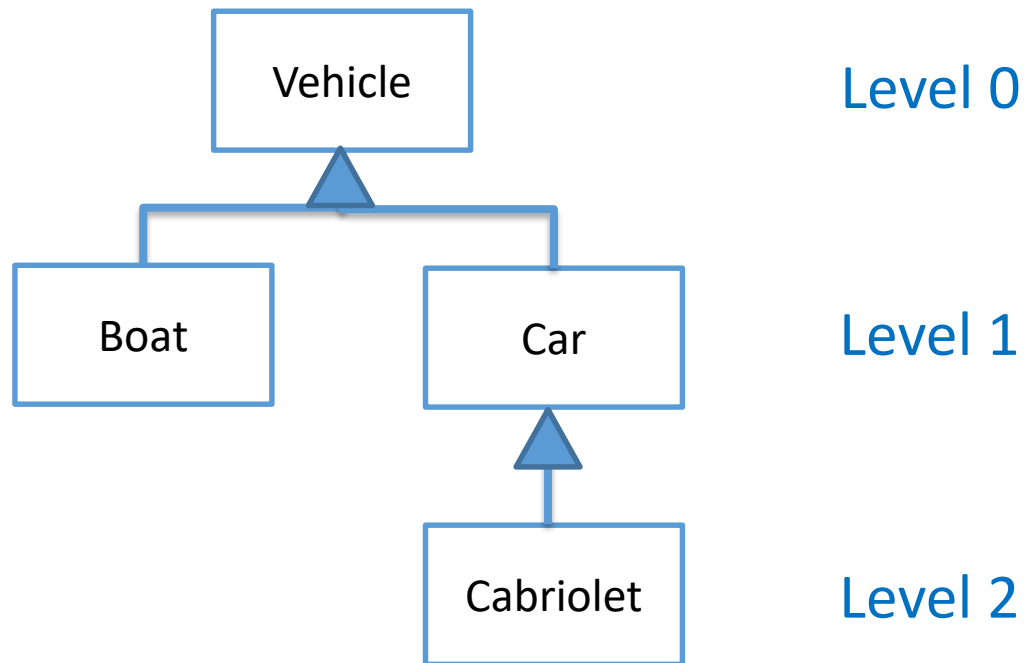
Indirect Base Type

- Need to traverse chain of base types

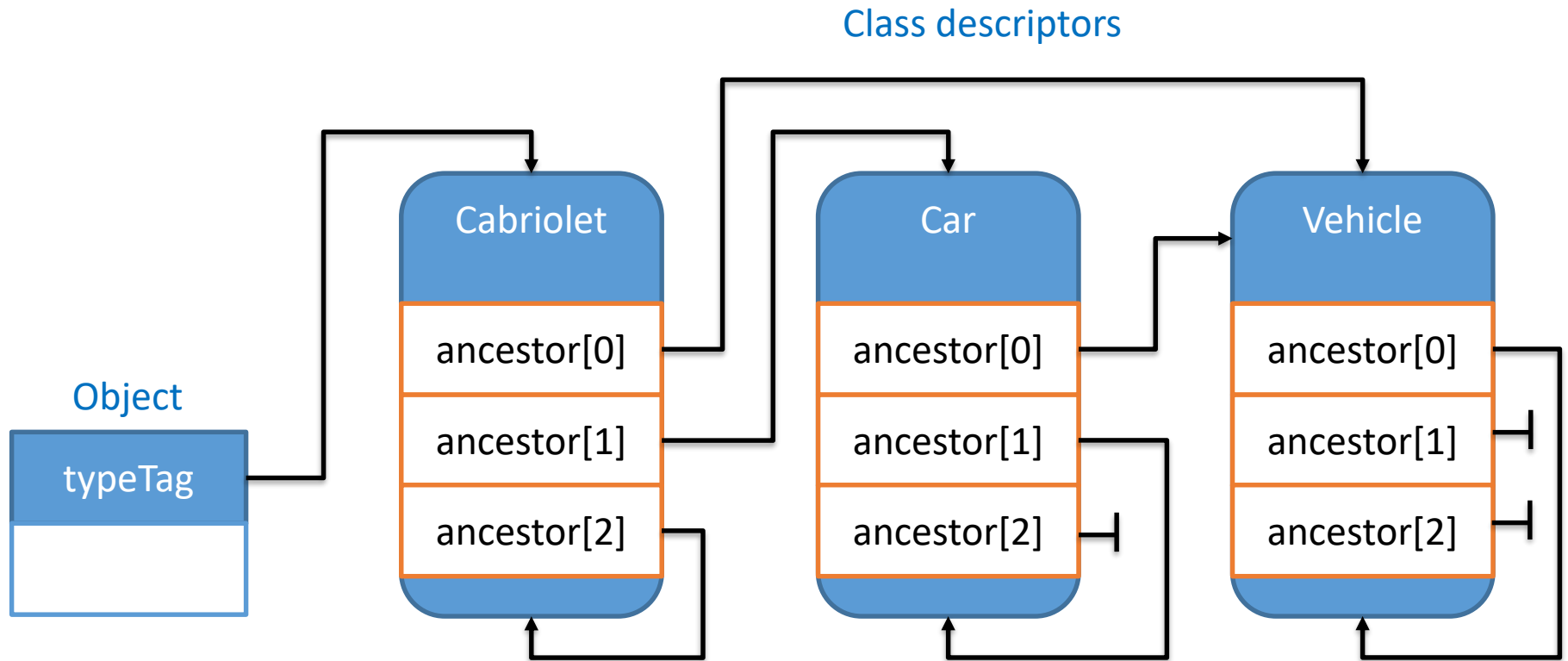


inefficient

Fix Levels of Inheritance

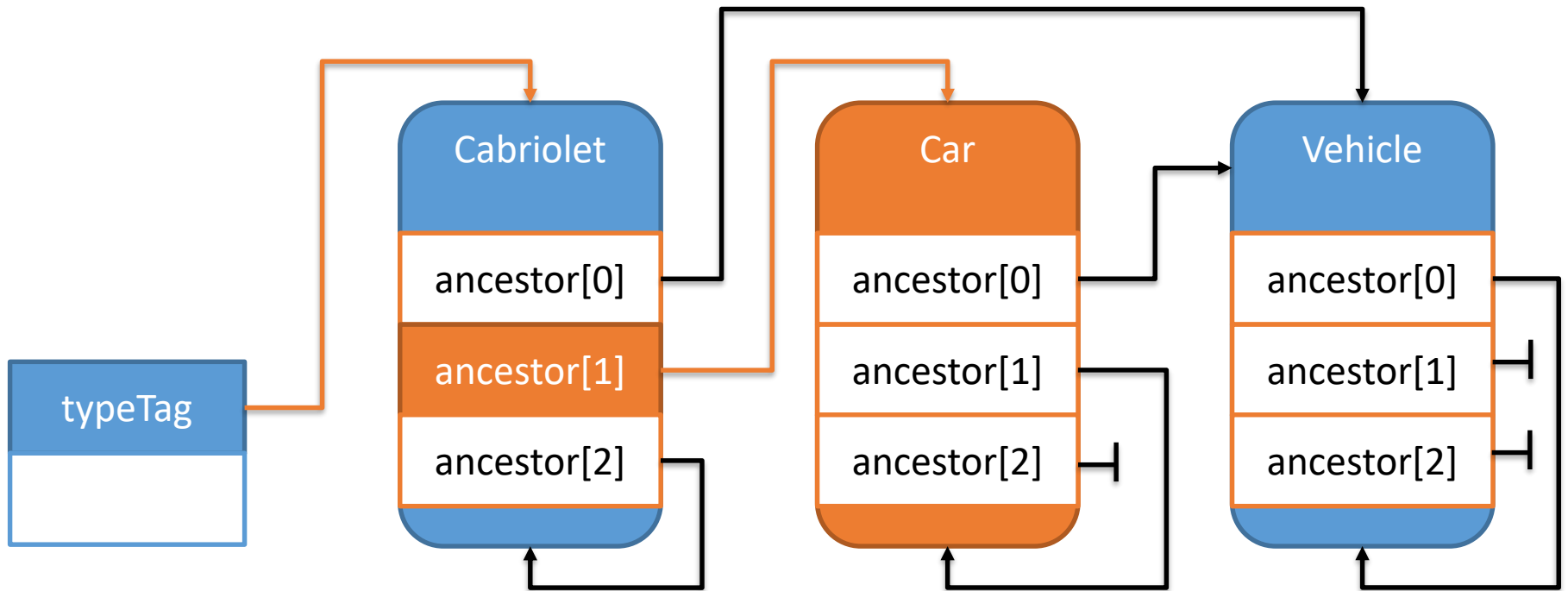


Ancestor Table



$\text{ancestor}[i]$ = Pointer to class descriptor of level i

v instanceof Car?



Car has level 1 => `typeTag.ancestors[1]` => is Car

Discussion

- Constant time type tests/casts
- Number of levels is limited
 - Alternative: dynamic-length table with bounds check
- Only works for single inheritance
- Root class not used in UCI-Java
 - Compiler can decide root class type tests/casts in Java

```
class ClassDescriptor {  
    private int ancestorLevel;  
    private ClassDescriptor[] ancestorTable;  
    ...  
}
```


Unnecessary Type Tests & Casts

- Dynamic = static
 - `v instanceof Vehicle`
- Or up-cast
 - `c instanceof Vehicle`

```
Vehicle v;  
Car c;
```

Compiler can decide this & remove casts

Bytecode Instructions

- Type casts do not modify the object in any regard
 - Only a runtime check that the type assumption is valid

Instruction	Meaning
instanceof <Class>	Type test
checkcast <Class>	Type cast

Interpretation Patterns

instanceof

```
var instance = pop();  
var target = (ClassDescriptor)instruction.getOperand();  
var desc = heap.getDescriptor(instance);  
int level = target.getAncestorLevel();  
push(desc.getAncestorTable()[level] == target);
```

checkcast

```
var instance = peek();  
instanceof  
if (!pop()) {  
    throw new VMException("Invalid cast");  
}  
push(instance);
```



How do we need to handle the “null” case in addition?

Virtual Methods

- (Non-static) methods can be overridden

```
class Vehicle {  
    void drive() { ... }  
    void park() { ... }  
}
```

```
class Car extends Vehicle {  
    void drive() { ... }  
    void gear() { ... }  
}
```



Overrides
Vehicle.drive()

Dynamic Dispatch

- On virtual method call, the dynamic type is decisive

```
Car c;  
c = new Car();
```

```
Vehicle v;  
v = c;
```

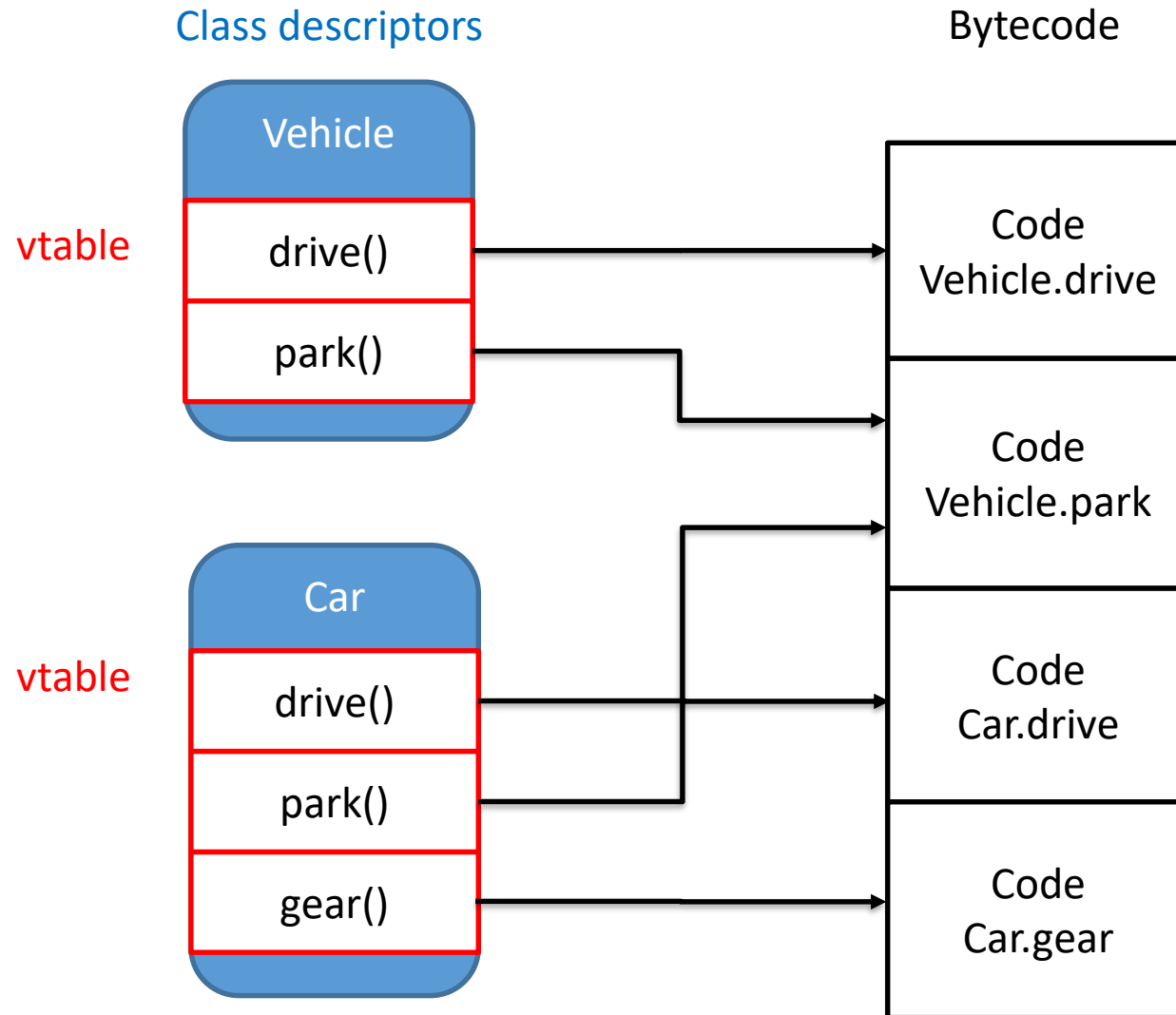
Dynamic type =
Car

```
v.drive();
```

Call of
Car.drive()

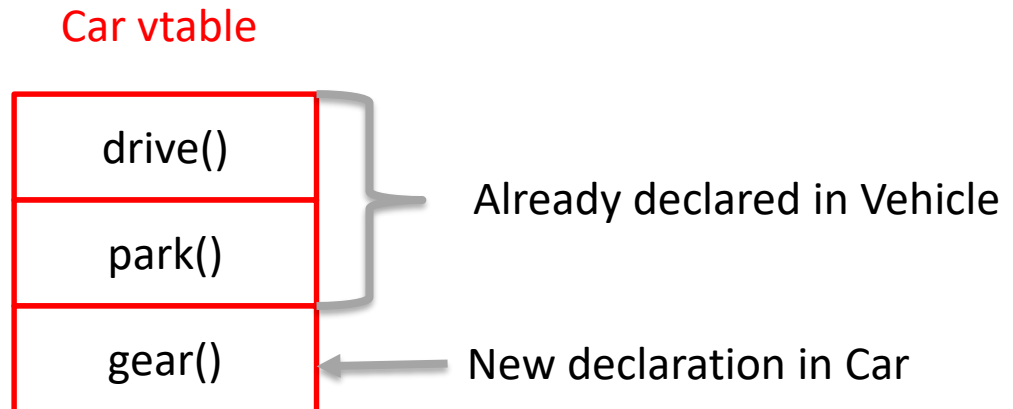
Matter of the runtime system

Virtual Method Table



Linear Extension

- Every virtual method of class has an entry
 - Methods of base class above
 - Newly declared methods in subclass below



Only works for single inheritance

Method Position

- Every virtual method has a fix position in vtable
- Position is statically known in declared type

```
class Vehicle {  
    void drive() {} // pos 0  
    void park() {}  // pos 1  
}
```

Vehicle vtable

0	drive()
1	park()

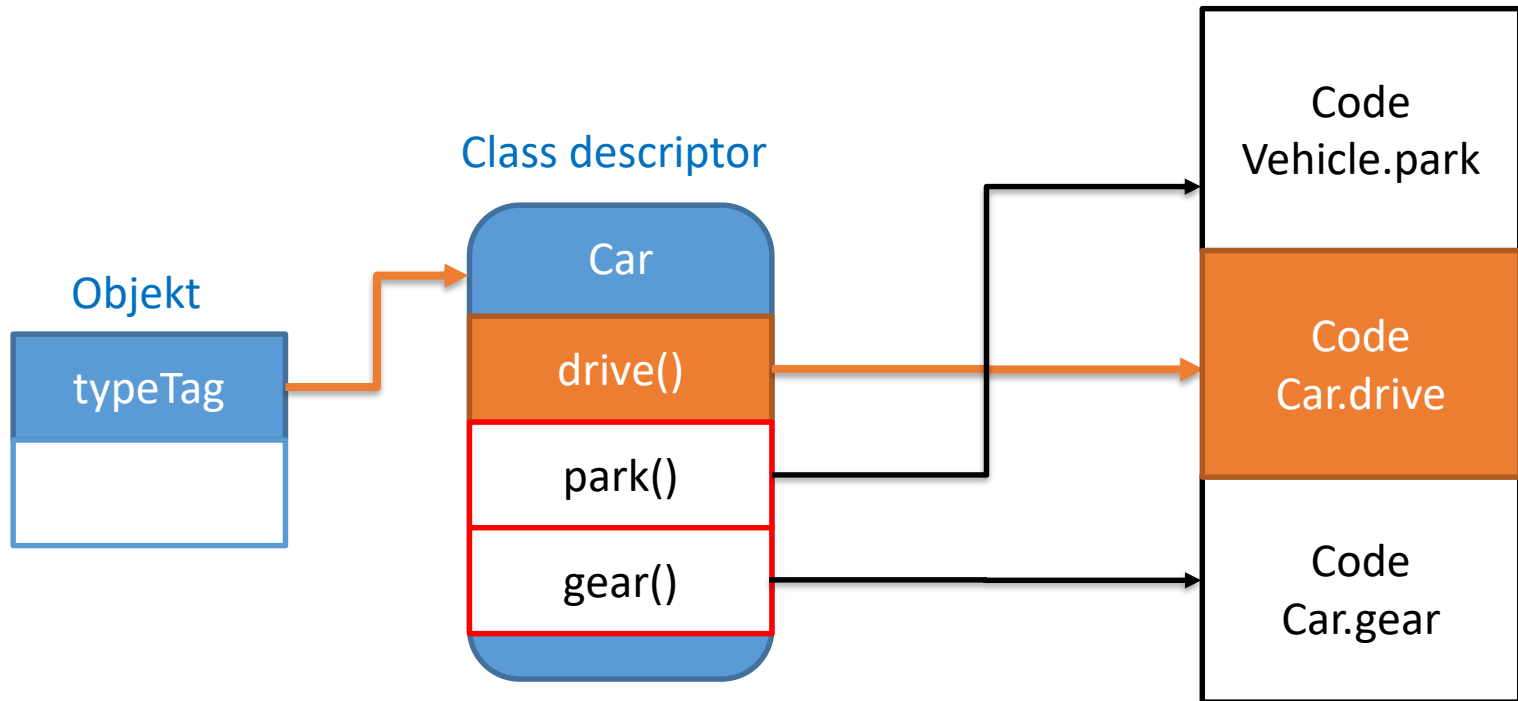
```
class Car extends Vehicle {  
    void drive() // pos 0  
    void gear()  // pos 2  
}
```

Car vtable

0	drive()
1	park()
2	gear()

Virtual Call

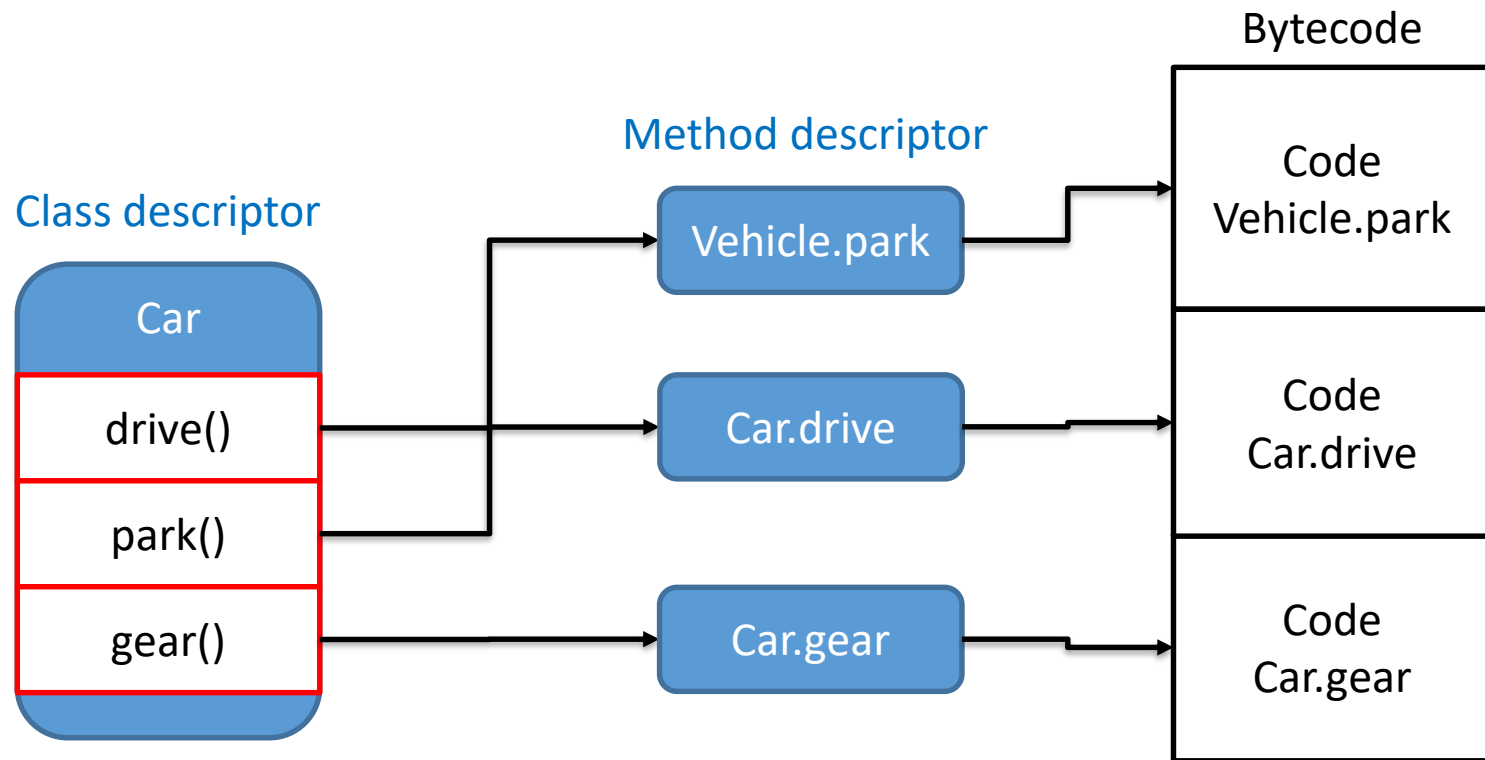
`v.drive();`



Branch to `v.typeTag.vtable[0]`

Method Descriptor

- Additional indirection via method descriptor
- Interpreter needs info about types of params/locals



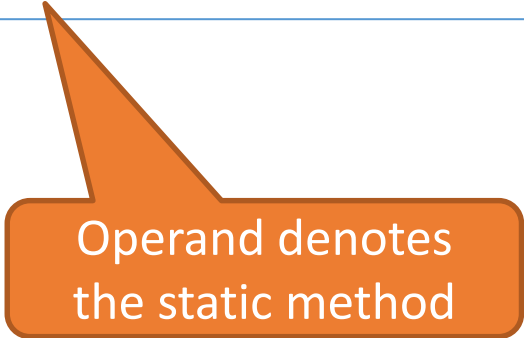
Discussion

- Efficient mechanism
 - Constant time method code lookup
- Only works like this for single inheritance
 - More tricky for multi-inheritance or interfaces
- Loader prepares vtable and position

```
class ClassDescriptor {  
    private MethodDescriptor[] virtualTable;  
    ...  
}  
  
class MethodDescriptor {  
    private int position;  
    ...  
}
```

Bytecode Instruction

Instruction	Meaning
<code>invokevirtual <Method></code>	Virtual Method Call



Operand denotes
the static method

Interpretation Pattern

invokevirtual

```
... // pop arguments
var staticMethod = (MethodDescriptor)instruction.getOperand();
var target = pop();
var type = heap.getDescriptor(target);
int position = staticMethod.getPosition();
var dynamicMethod = type.getVirtualTable()[position];
... // call dynamic method
```

Advanced Type Polymorphism

In other OO languages

- Interfaces (Java, C#)
- Multi-inheritance (C++)

Many problems and more difficult implementation

More information in appendix (optional)

Review: Learning Goals

- ✓ Know the runtime structures for type polymorphism and dynamic dispatch
- ✓ Be able to extend the VM for OO single inheritance

The background image shows a modern building with large glass windows and a paved courtyard. The building is multi-storied, and the windows are illuminated from within, suggesting an evening or dusk setting. The courtyard is paved with large, light-colored tiles. The overall scene is a clean, architectural shot.

Appendix

Self-Study (Optional)

Interface Polymorphism

- Multi-implementation of interfaces

```
interface A {  
    void f();  
}
```

```
interface B {  
    void g();  
}
```

```
class ABImpl implements A, B {  
    void f() { ... }  
    void g() { ... }  
}
```

Interface Calls

- Method calls via interface type

A x = ...
B y = ...

x.f();

y.g();

Dynamic
dispatch



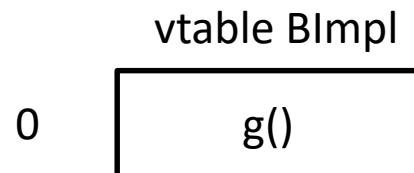
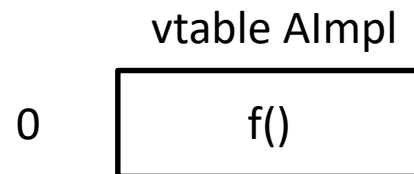
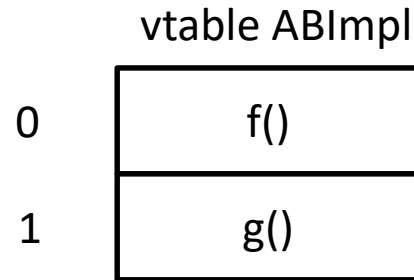
*Can we use the same approach like
with the vtable before?*

Attempt

```
class ABImpl implements A, B {  
    void f() { ... }  
    void g() { ... }  
}
```

```
class AImpl implements A {  
    void f() { ... }  
}
```

```
class BImpl implements B {  
    void g() { ... }  
}
```



Different
positions

```
B y = ...;  
y.g(); // pos ??
```

Interface Support (1)

- Globally number interfaces

Interface	Number
A	0
B	1
C	2
...	...

Interface Support (2)

- Assign interface table (itable) per class descriptor

class ABImpl implements A, B

0	A
1	B

class AImpl implements A

0	A

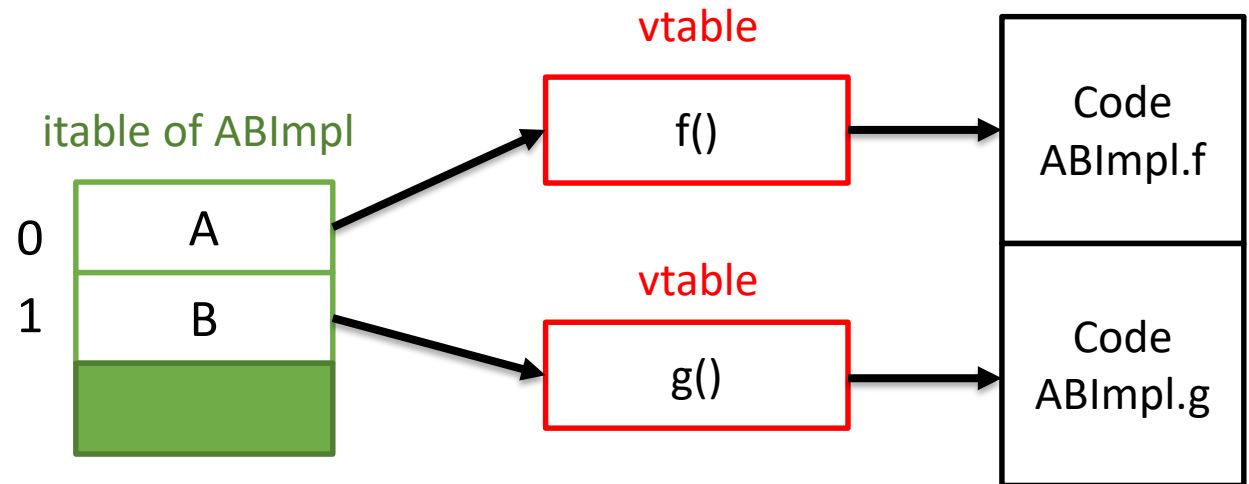
class BImpl implements B

1	B

Insert interfaces
according to their
number = position

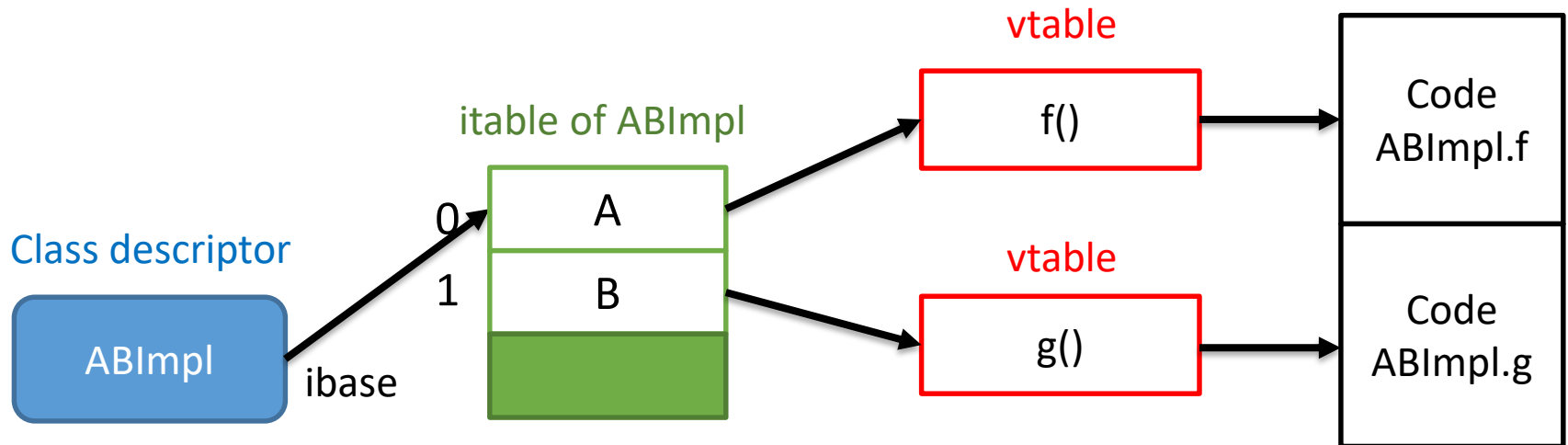
Interface Support (3)

- Entries in itable point to vtable



Interface Support (4)

- Class descriptor points to itable (ibase-pointer)

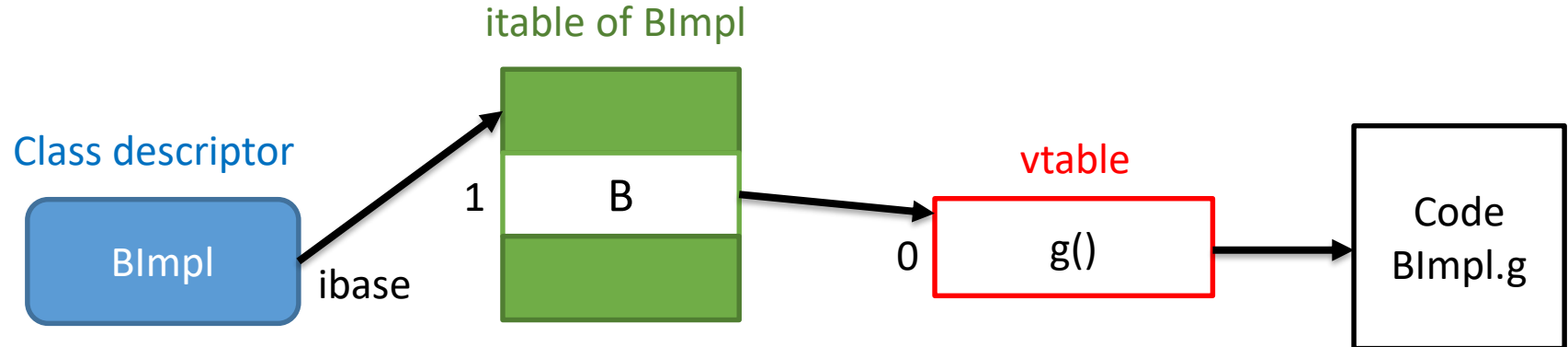


Interface Call

Statically known

```
B y = ...;  
y.g();
```

Interface number 1
Method position 0



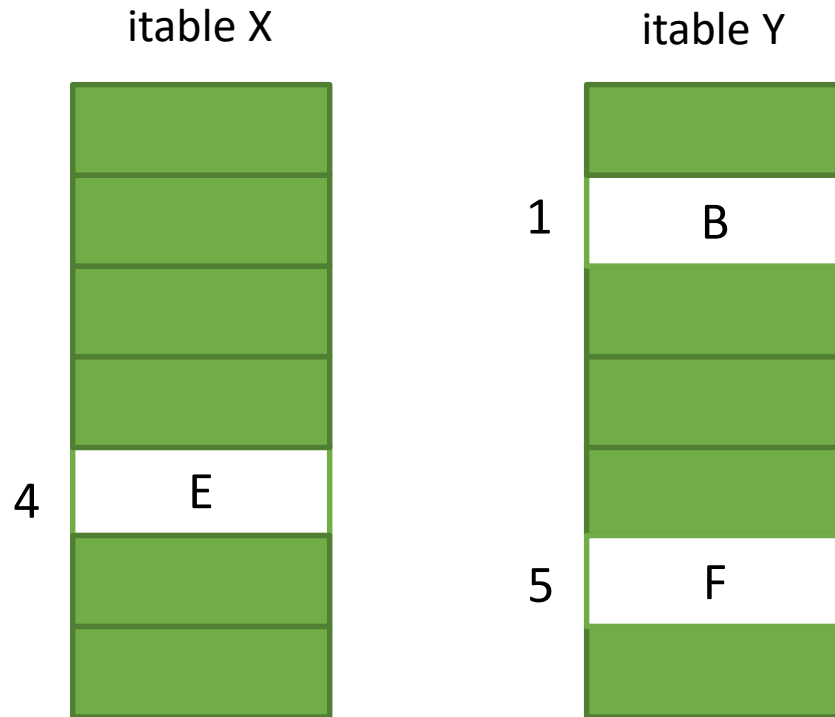
Branch to `y.typeTag.ibase[1][0]`

Interface Test and Cast

- Type test: `y instanceof A`
- Type cast: `(A)y`
- Same mechanism
 - Check whether interface entry exists in itable
 - `y.typeTag.ibase[1] != null`

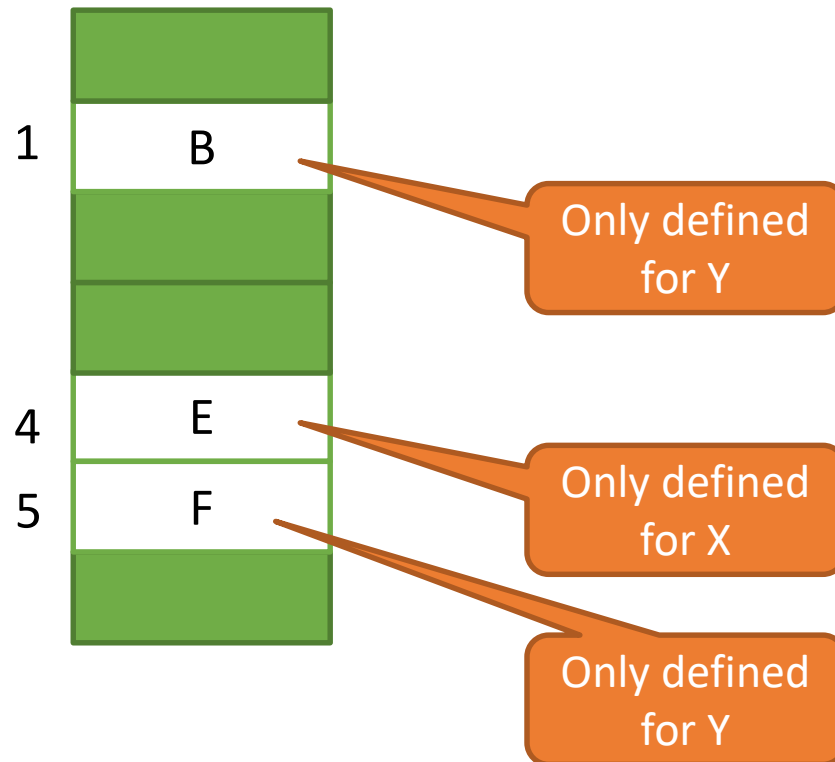
Memory Problem

- Large itables in the case of many interfaces
- Many holes because of global numbering



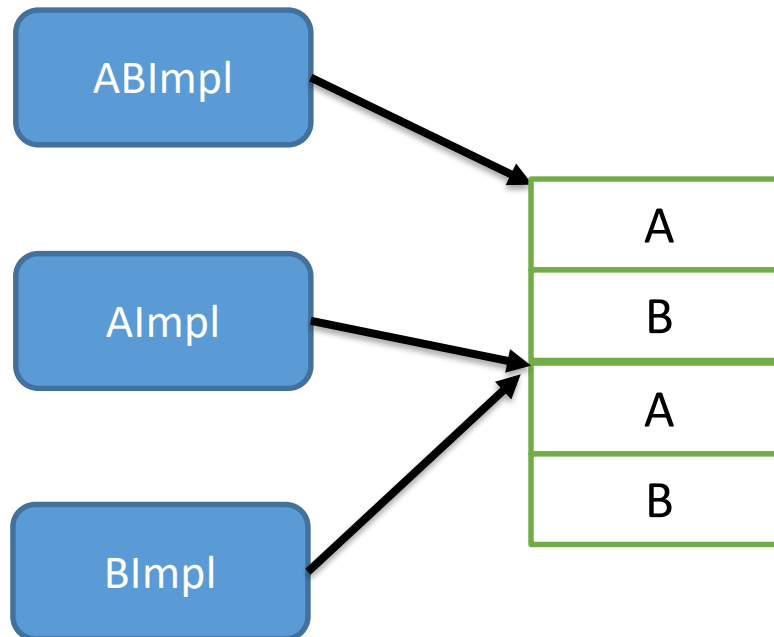
Interleaved Interface Table

- Overlap itables in memory (without clash)
- Check, if entry applies to specific type
 - Record class descriptor in vtable

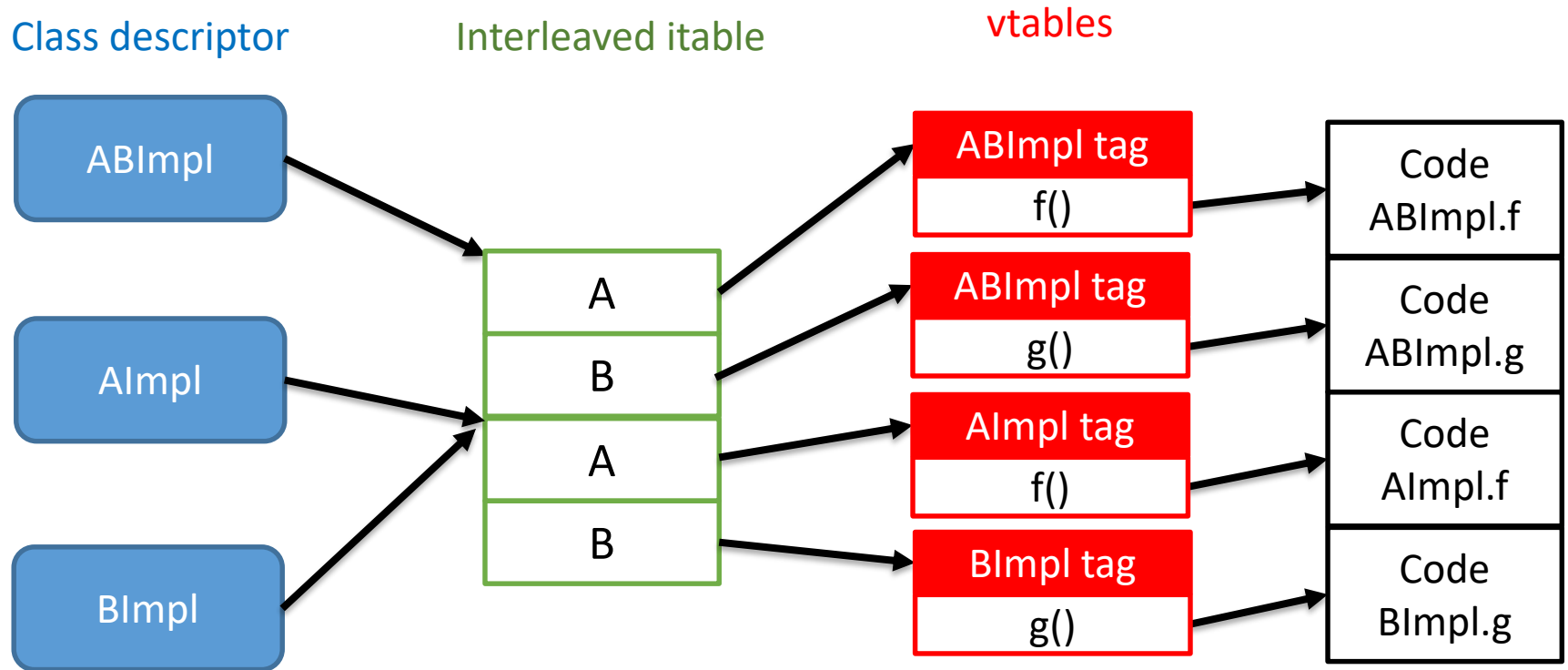


Different Offsets

- Interleaving is also possible with different offsets



Full Picture: Interface Support



Tag to check whether
interfaces fits to type

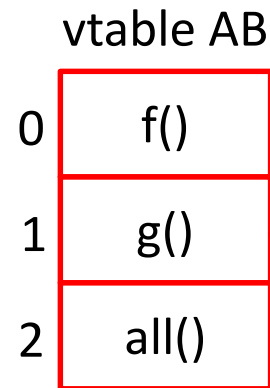
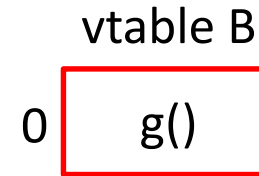
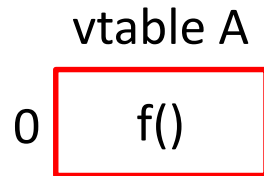
Multi-Inheritance

```
class A {  
    int a;  
    void f() { a = ... }  
}
```

```
class B {  
    int b;  
    void g() { b = ... }  
}
```

```
class AB : A, B {  
    int ab;  
    void all() {  
        a = ab; b = ab;  
    }  
}
```

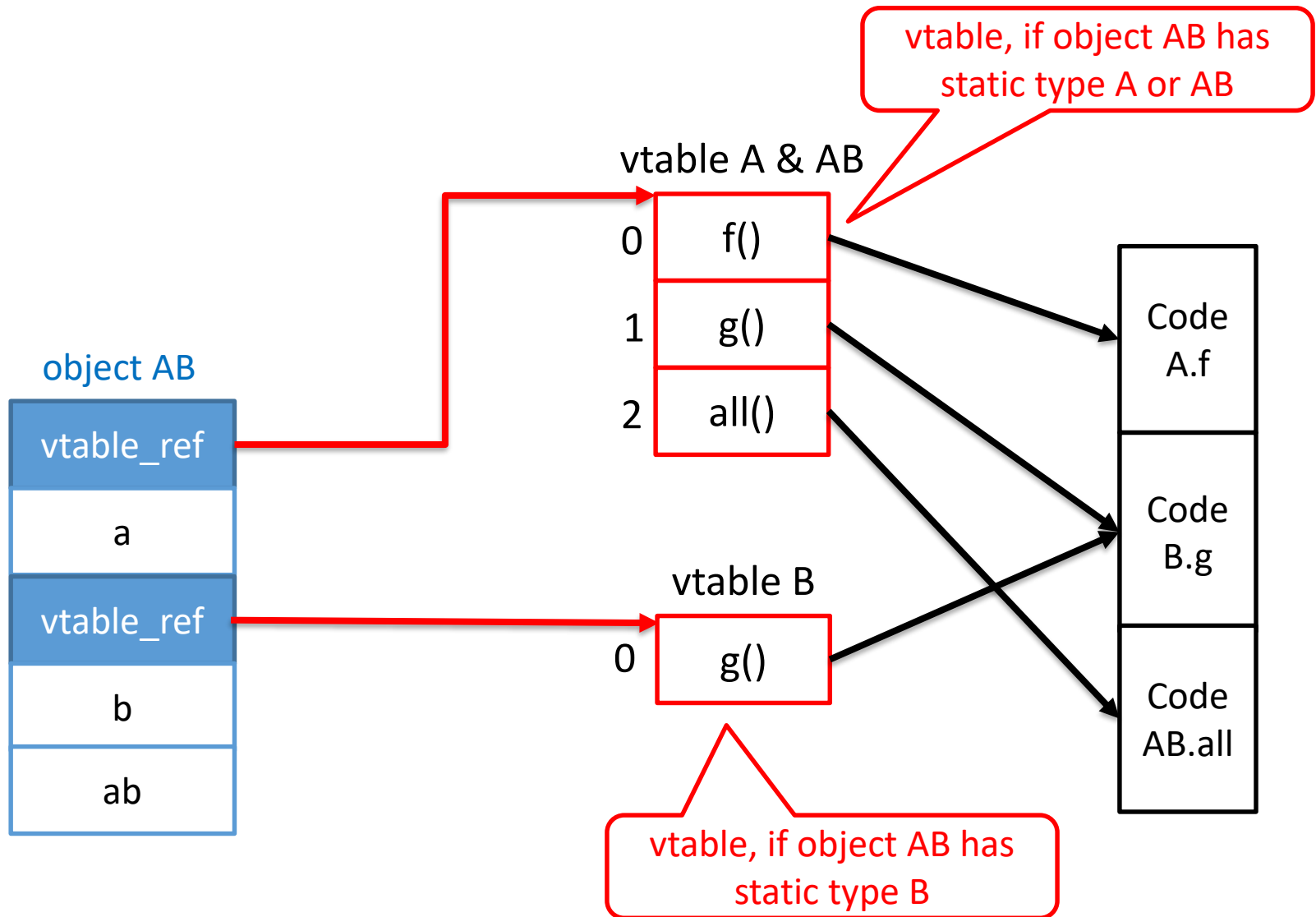
Virtual Table Problem



Different
positions

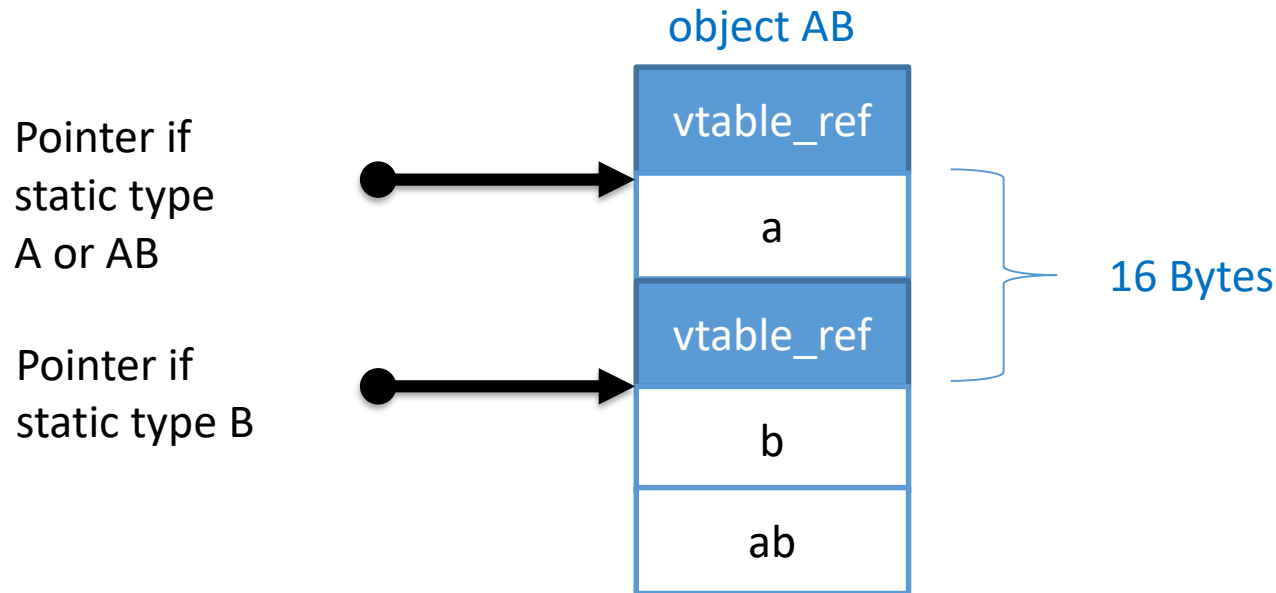
B y = ...;
y.g(); // pos ??

Solution for Virtual Table



Multi-Inheritance Virtual Tables

- Subclass points to multiple virtual tables
 - Depending on static type
- Pointer to object differs depending on static type

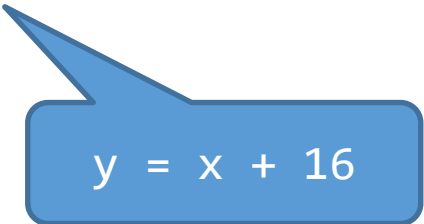


Pointer Shifts on Type Casts

Up-cast

AB x = ...;

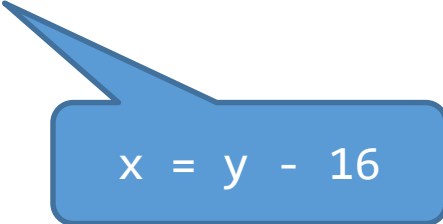
B y = x;


$$y = x + 16$$

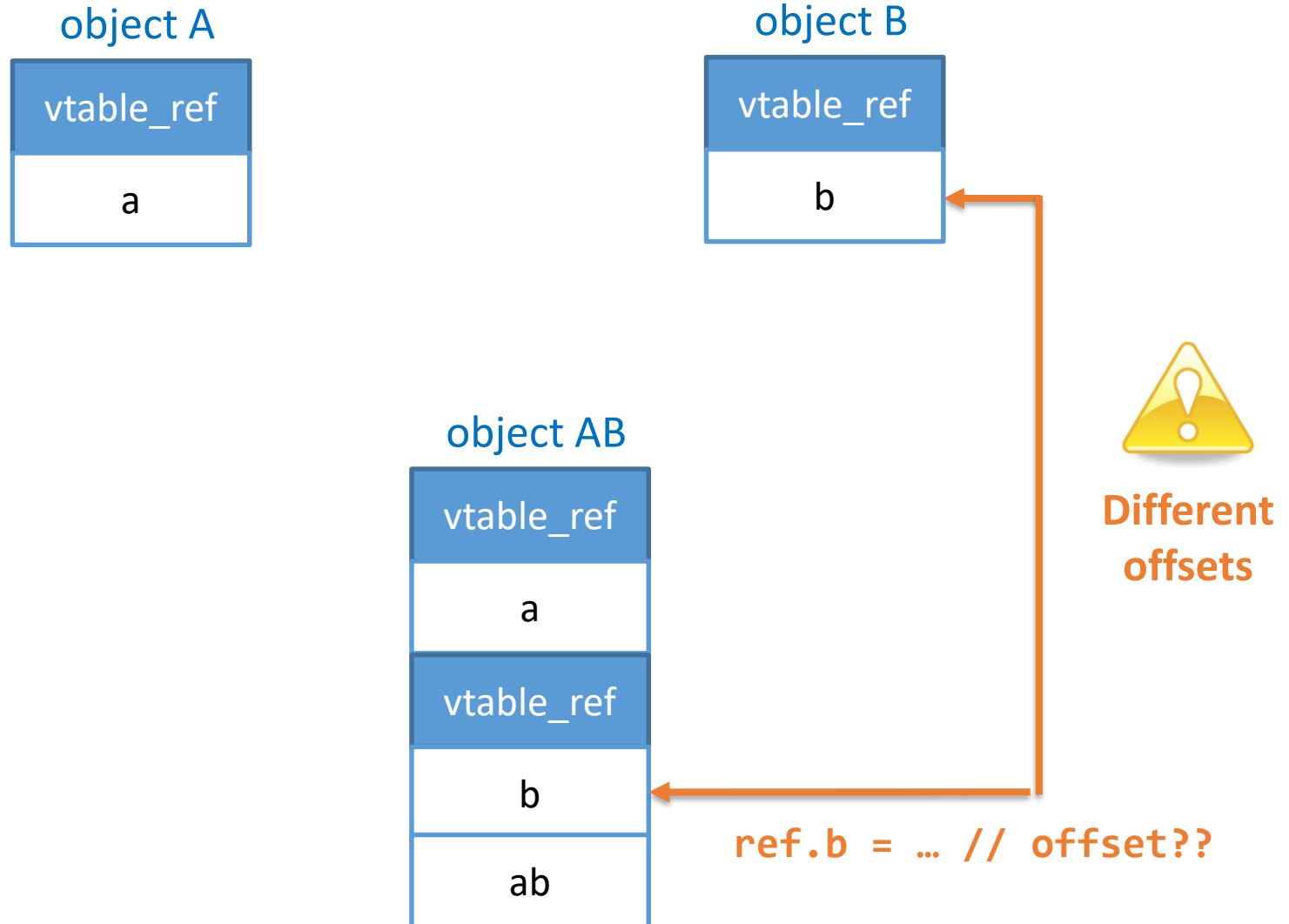
Down-cast

B y = ...;

AB x = (AB)y;


$$x = y - 16$$

Field Offset Problem



Solution: Shift of «this»-Reference

```
B y = new B();  
y.g();
```

```
AB x = new AB();  
x.g();
```



this = y

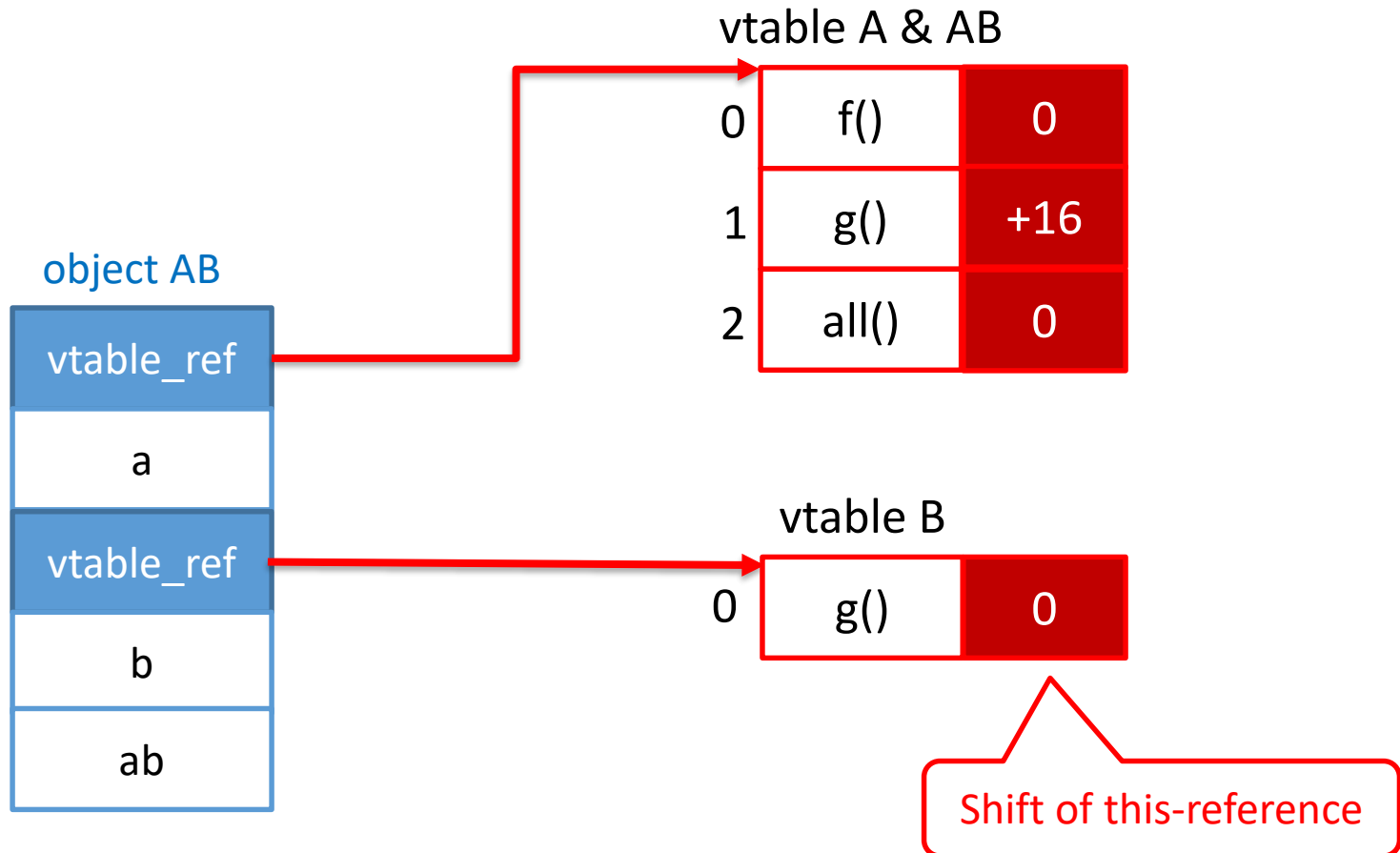


this = x + 16

```
void g() { b = ... }
```

b: Offset 0 to this
(from perspective of class B)

this-Shifts in Virtual Table



Multi-Inheritance: Other Problems

- Conflicts
 - Method with same signature inherited multiple times
 - Resolution: Overriding, selection
- Diamant problem
 - Variable inherited multiple times (over different paths)
 - Resolution: Duplicate or share